

UNIVERSIDADE FEDERAL DE PERNAMBUCO
CENTRO DE BIOCÊNCIAS
PROGRAMA DE PÓS-GRADUAÇÃO EM GENÉTICA

RONALD RODRIGUES DE MOURA

**INFERÊNCIA DA MISCIGENAÇÃO GENÉTICA NA POPULAÇÃO
PERNAMBUCANA E SUA APLICAÇÃO EM ESTUDOS DE
ASSOCIAÇÃO**

Recife
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Tese apresentada ao Programa de Pós-Graduação em Genética da, Universidade Federal de Pernambuco, como parte dos requisitos exigidos para obtenção do título de Doutor em Genética.

Orientador: Lucas André Cavalcanti Brandão
Co-orientadores: Sergio Crovella e Valdir de Queiroz Balbino

**Recife
2018**

Dados Internacionais de Catalogação na Publicação (CIP) de acordo com ISBD

Moura, Ronald Rodrigues de

Inferência da miscigenação genética na população pernambucana e sua aplicação em estudos de associação/ Ronald Rodrigues de Moura- 2018.

92 folhas: il., fig., tab.

Orientador: Lucas André Cavalcanti Brandão

Coorientadores: Sérgio Crovella e Valdir de Queiroz Balbino

Tese (doutorado) – Universidade Federal de Pernambuco. Centro de Biociências. Programa de Pós-Graduação em Genética.

Recife, 2018.

Inclui referências e anexos

1. Genética de populações 2. Miscigenação 3. Pernambuco I. Brandão, Lucas André Cavalcanti (orient.) II. Crovella, Sérgio (coorient) III. Balbino, Valdir de Queiroz (coorient.) IV. Título

576.58

CDD (22.ed.)

UFPE/CB-2018-143

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Aprovada em 15/02/2018

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AGRADECIMENTOS

Ao meu orientador, Prof. Lucas André Cavalcanti Brandão, e meus co-orientadores, Prof. Sergio Crovella e Prof. Valdir Queiroz Balbino, pelos ensinamentos e conselhos ao longo desse tempo.

Aos meus colegas de trabalho, dos quais não citarei em nomes para não cometer injustiças (você sabem quem são), por tornar o exercício da ciência ainda mais prazeroso.

Aos professores e funcionários do Programa de Pós-graduação em Genética, pelo suporte, que já vem desde a época do mestrado.

Aos membros da banca, que avaliarão meu trabalho de maneira justa e competente.

Aos órgãos de fomento (CNPq, CAPES e FACEPE), pela confiança no trabalho e pelo empenho financeiro que contribuiu decisivamente para a realização desse projeto.

A vós, agradeço!

“Todo o brasileiro, mesmo o
alvo, de cabelo louro, traz na
alma, quando não na alma e no
corpo [...] a sombra, ou pelo
menos a pinta, do indígena ou
do negro. [...] Na ternura, na
mímica excessiva, no
catolicismo em que se deliciam
nossos sentidos, na música, no
andar, na fala, no canto de
ninar menino pequeno, em tudo
que é expressão sincera de
vida, trazemos quase todos a
marca da influência negra”.

(Gilberto Freyre)

RESUMO

A natureza tri-híbrida da população brasileira de um modo geral, faz com que seja possível tecer um diálogo entre os acontecimentos históricos e evolutivos que se sucederam nesses últimos cinco séculos. Os Pernambucanos são bons representantes disso, porém poucos trabalhos avaliaram esse fenômeno em nosso estado. A presente tese pretende ajudar a preencher essa lacuna, além de reforçar aplicações importantes desse tipo de análise, como nos estudos de associação genética. Utilizando levantamentos sistemáticos da literatura, foi possível verificar que a contribuição europeia (EUR) predomina em todo o território brasileiro, com presença em 62% do nosso genoma, enquanto a africana (AFR) contribui com 21% e a nativa americana (AMR) com 17%. Em particular, a população pernambucana segue esse padrão com 60%, 23% e 17% de proporções EUR, AFR e AMR, respectivamente. Por fim, vale mencionar a importância que a estimativa da miscigenação populacional tem em estudos de associação caso/controle no sentido desse fenômeno produzir resultados espúrios por inflacionar a frequência de um alelo em um dos grupos de estudo, não por influência desse no fenótipo estudado, mas por ser mais presente em indivíduos com certa composição genética. Assim, verificamos se há influência da miscigenação na presença de alelos em genes relacionados a recuperação imunológica de pacientes HIV+, sob tratamento antirretroviral. Como resultado, as estimativas não apontaram diferenças significativas na contribuição EUR, AFR e AMR entre os grupos avaliados (p-value > 0.05).

Palavras-chave: Marcadores Informativos de Ancestralidade. Estruturação Populacional. Fluxo Gênico. SNP.

ABSTRACT

The tri-hybrid aspect of the general Brazilian population makes possible to establish a dialogue between Historical and Evolutionary events that took place in the past five centuries. The 'Pernambucanos' are a good example of it; however, a few studies evaluated this phenomenon in Pernambuco. This thesis helps to fulfill this gap and reinforce the importance of applying this analysis in genetic studies, such as those with case/control design. From a systematic screening of the bibliography, we verified that the European genetic contribution (EUR) is predominant throughout all Brazilian territory, composing 62% of our genome, whereas African (AFR) contributes with 21% and Native American (AMR) with 17%. Pernambuco's population follows this pattern with 60%, 23% and 17% for EUR, AFR and AMR proportions, respectively. Lastly, it is worth to note the importance that estimating genetic admixture has on genetic association studies, once this evolutionary force can produce spurious results by inflate the frequency of an allele in one of the groups. This may happen not because the allele is associated to the phenotype, but because it is more frequent in individuals with a given genetic background. Thus, we verified the influence of genetic admixture in the presence of alleles from genes related to immunologic recovery of HIV⁺ patients, under antiretroviral treatment. As result, the estimates did not pointed to significant differences of EUR, AFR or AMR contributions (p-value > 0.05).

Key-words: Ancestry Informative Markers. Genetic Substructure. Gene Flow. SNP.

LISTA DE ABREVIATURAS, SIGLAS E SÍMBOLOS

IBGE	- Instituto Brasileiro de Geografia e Estatística
AIM	- <i>Ancestry Informative Marker</i>
SNP	- <i>Single Nucleotide Polymorphism</i>
INDEL	- Inserção/Deleção
PCA	- <i>Principal Component Analysis</i>
PCR	- <i>Polymerase Chain Reaction</i>

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1 INTRODUÇÃO

Os processos sociais que ocorreram desde as primeiras interações entre Portugueses, tribos indígenas nativas do Brasil e africanos trazidos forçadamente a partir do século XVI contribuíram para a composição atual do genoma da população brasileira. Hoje em dia está claro que o genoma do brasileiro é, de um modo geral, trí-híbrido, com diferentes proporções de herança genética de um dos grupos citados acima de modo que cada indivíduo tenha praticamente uma proporção única de ancestralidade africana, europeia e indígena.

Porém, essa diversidade entre indivíduos não se percebe ao nível populacional, onde populações de regiões geográfica distintas (sudeste e nordeste, por exemplo) podem apresentar contribuições genéticas bastante semelhantes. Essa afirmação pode ser constatada após mais de três décadas de estudos genéticos em populações brasileiras de diferentes regiões que buscam caracterizar essa diversidade. A população pernambucana também emergiu de forma similar que a brasileira como um todo. No entanto, ao longo dessas décadas de estudo, poucos trabalhos pesquisaram sobre como as contribuições africanas, ameríndias e europeias aparecem no genoma do povo pernambucano.

Outro aspecto discutido ao longo dos estudos da miscigenação genética brasileira (e também no artigo do segundo capítulo) foi a relação da miscigenação genética com a “raça” ou “etnia” dos indivíduos. Apesar do ponto de vista biológico raças humanas não existirem, as pessoas que compartilham certos traços fisionômicos e culturais tendem a se categorizar em grupos. Na sociedade brasileira atual, existe um debate intenso sobre políticas afirmativas e racismo. Apesar de nesses casos a ciência não tenha um caráter normativo, a Genética pode informar e talvez enriquecer o debate.

Não só em Pernambuco, mas em todo o país, injúrias raciais são praticadas e propagadas cotidianamente. O tipo racismo no Brasil é o de “marca”, onde os principais alvos do preconceito são traços físicos sendo cor da pele o mais explorado (escárnios em relação ao tipo de cabelo e formato do nariz também podem ser vistos). Assim, ainda no segundo capítulo estudamos a relação da miscigenação genética com a cor da pele autodeclarada, de acordo com as categorias adotadas pelo Instituto Brasileiro de Geografia e Estatística (IBGE).

Além de poder esclarecer a dinâmica populacional do ponto de vista genético, entender a miscigenação genética dos indivíduos em um grupo pode ajudar em estudos de associação genética, onde se objetiva estabelecer uma relação de causa e efeito entre variações genéticas e um fenótipo estudado (características físicas, doenças, etc.). A ocorrência de determinado alelo estudado em uma amostra da população pode ser influenciada pelo grau de miscigenação que aquela amostra possui. Por exemplo, um alelo mais frequente em indivíduos nativos americanos é mais visto em populações miscigenadas, quanto maior foi a proporção de contribuição nativo americana que ela possua. Assim, duas amostras de uma mesma população miscigenada podem ter diferenças significativas entre as frequências de um mesmo alelo, caso haja um viés com relação a miscigenação que essas amostras apresentam.

Portanto, estudos que buscam caracterizar a estruturação genética continuam sendo relevantes atualmente por ajudar a compreender a história de uma população e auxiliar na compreensão da influência genética em fenótipos de interesse.

2 REVISÃO DA LITERATURA

Miscigenação, do inglês *admixture*, é uma forma de fluxo gênico que refere-se ao processo no qual duas ou mais populações com diferentes frequências alélicas para mesmos loci se inter cruzam, formando uma população híbrida (Mielke, et al., 2006). Consideráveis níveis de miscigenação genética vem ocorrendo na América Latina durante os últimos 500 anos entre populações africanas, europeias e indígenas.

2.1 MISCIGENAÇÃO GENÉTICA NAS AMÉRICAS E CARIBE

Considerando a matriz genética tri-híbrida observada nas populações ao longo dos continentes americanos, podemos destacar a grande variação de contribuições Africanas, Ameríndias e Europeias. A contribuição genética de populações ancestrais africanas pode variar de 1%, nos Estados Unidos, a 96%, no Haiti; a proporção de genoma nativo americano pode variar entre 0% e 92% (Haiti e Peru, respectivamente); e a contribuição europeia oscila entre 4%, nas Bahamas e 98%, nos Estados Unidos (Halder et al., 20009; Simms et al., 2010; Moura et al., 2015). Devido a falta de estudos sistematizados produzimos um relato, descrito no Capítulo I desse manuscrito.

2.2 MISCIGENAÇÃO GENÉTICA NO BRASIL

Um recente estudo aponta que os momentos-chave de fluxo gênico que contribuíram para a composição atual do genoma dos brasileiros ocorreram em três pulsos de miscigenação ocorridos há 18-16 (no século XVII), 12-10 (século XVIII) e 6-4 gerações (século XIX). O Genoma brasileiro passou de uma maior contribuição africana até os dois últimos pulsos, onde imigraram aproximadamente quatro milhões de europeus, em um processo conhecido como “branqueamento do Brasil”,

aumentando a contribuição europeia que passou a predominar até os dias de hoje (KHEDY ET AL., 2015).

No Brasil, as proporções de miscigenação genética também variam tanto dentro de um Estado, como em São Paulo (CARDENA ET AL., 2013; MANTA ET AL., 2013), quanto entre regiões (PENA, ET AL., 2011; MANTA ET AL., 2013). Uma das explicações para esses dados são as diferentes rotas de colonização que existiram no país, bem como as proporções de africanos, europeus e indígenas que coexistiram nas regiões e estados, como por exemplo em Pernambuco, que recebeu populações de Angola durante a escravidão (IBGE, 2000).

Em Pernambuco, três distintos estudos foram conduzidos: Alves-Silva, et al. (2000), usando marcadores no DNA mitocondrial, encontrou 44%, 34% e 22% de proporções africana, europeia e indígena, respectivamente; Carvalho-Silva et al. (2001), utilizando Y-DNA observou 4.1%, 95.9 e 0%, na mesma ordem que o estudo anterior; e Manta, et al. (2013), utilizando padrões autossômicos de inserções/deleções, encontrou valores de 28%, 57% e 15% das respectivas populações ancestrais. Ao nosso conhecimento, não há nenhum estudo que investigue a extensão das contribuições genéticas ancestrais em várias regiões de Pernambuco, como as do Agreste e Sertão usando os mesmos marcadores. No o artigo presente no Capítulo I desse manuscrito encontram-se mais informações sobre estudos no Brasil, enquanto que no Capítulo II estão dispostas mais informações sobre estudos em Pernambuco.

2.3 MARCADORES INFORMATIVOS DE ANCESTRALIDADE

A esse ponto, pode-se questionar como foi possível estimar a contribuição de cada população parental para uma população miscigenada. Atualmente a resposta inicial é utilizando o DNA. De fato, marcadores no DNA são os mais usados. Porém, no início desses estudos, os marcadores utilizados eram especialmente marcadores para sistemas sanguíneos (SCHULLER ET AL., 1982; SANTOS ET AL., 1987; RIBEIRO-DOS-SANTOS ET AL., 1995). Além de sequências de DNA mitocondrial, microssatélites e sítios *Alu* também foram utilizados em um segundo momento (FERREIRA ET AL., 2005; SCLIAR ET AL., 2009). Desde 2010, os marcadores que

vem predominando são os SNPs (do inglês, *single nucleotide polymorphism*) e INDELs (inserções/deleções) (SANTOS ET AL., 2010; PENA ET AL., 2011; GIOLO ET AL., 2012; MANTA ET AL., 2013). Com a alta disponibilidade de dados de genotipagem de SNPs, esse tipo de marcador vem tendo preferência superior aos INDELs, especialmente porque apesar do grau de informatividade de microssatélites serem de oito a dez vezes maior que a de SNPs, 2 a 12% dos SNPs conhecidos tem informatividade superior que a mediana da informatividade de microssatélites, além de atualmente serem mais viáveis técnica e economicamente (ROSENBERG ET AL., 2003).

Independentemente do tipo, esses marcadores devem ter em comum o fato de serem marcadores informativos de ancestralidades [do inglês, *ancestry informative marker* (AIM)]. Um AIM ideal tem um alelo fixado em uma população ancestral e outro ausente nas outras populações ancestrais. Entretanto, a maioria dos alelos são compartilhados entre as populações. Portanto, é importante identificar os AIMs que conseguem melhor discriminar as proporções de cada população ancestral em uma população miscigenada. Várias medidas para verificar o grau de informatividade dos marcadores tem sido desenvolvidas com o crescente aumento de informação disponível nos bancos de dados públicos. Dentre elas destacam-se: Diferença absoluta entre as frequências alélicas (δ), Conteúdo informativo de Shannon (SIC), conteúdo informativo de Fisher (FIC), estatísticas F (F_{ST}) e informatividade por medição atribuída (\ln) (DING, ET AL., 2011).

O algoritmo \ln informa o logaritmo esperado da taxa de verossimilhança que um alelo é atribuído a uma das populações ancestrais comparado com uma população “media hipotética” cujas as frequências alélicas são iguais a média das frequências alélicas entre as K populações. Quanto maior a diferença nas frequências alélicas entre as populações, maior será o valor de \ln (ROSENBERG ET AL., 2003). A partir da comparação dos cinco métodos citados acima, Ding, et al. (2011) concluíram que AIMs escolhidos baseados no algoritmo \ln produzem uma estimativa de ancestralidade genética com um menor viés estatístico e uma menor variância com uma menor quantidade de marcadores (DING, ET AL., 2011).

2.4 ESTRATIFICAÇÃO POPULACIONAL

Estratificação populacional é uma das fontes de associações espúrias em estudos caso-controle, envolvendo doenças ou resposta à fármacos, por exemplo, podendo levar tanto à resultados falsos-positivos quanto falso-negativos (BALDING, 2006; LEWIS; KNIGHT, 2012). Esse fator de confundimento se torna evidente quando casos e controles possuem diferentes proporções de ancestralidade genética; e também quando o fenótipo que está sendo estudado possui uma variação em relação com a base genética ancestral das populações (THOMAS; WITTE, 2002).

Três métodos para correção da estratificação genética em estudos caso-controle vem sendo implementados: Associação Estruturada (PRITCHARD ET AL., 2000), Controle Genômico (DEVLIN ET AL., 2001) e Análise de Componentes Principais – PCA, do inglês, *Principal Component Analysis* – (PATTERSON ET AL., 2006). Esses métodos são capazes de corrigir os resultados de associação através da análise de um conjunto de loci espalhados pelo genoma que não estão ligados ao *locus* candidato (ENOCH, ET AL., 2006).

Portanto, para estudos de associação genética em populações miscigenadas, como a brasileira, é crucial a inferência da contribuição das populações parentais no genoma dos indivíduos estudados a fim de evitar resultados falso-positivos ou falso-negativos.

3 OBJETIVOS

3.1 OBJETIVO GERAL

Inferir a contribuição africana, ameríndia e europeia no genoma de populações pernambucanas e avaliar o efeito dessa estruturação populacional em estudos de associação genética.

3.2 OBJETIVOS ESPECÍFICOS

1. Realizar o levantamento do estado da arte dos estudos de miscigenação genética no Brasil;
2. Inferir a ancestralidade da população geral pernambucana;
3. Realizar estudos de associação genética com doenças e outros fenótipos de interesse aplicando os marcadores de ancestralidade em ambos casos e controles.

4 META-ANALYSIS OF BRAZILIAN GENETIC ADMIXTURE AND COMPARISON WITH OTHER LATIN AMERICA COUNTRIES

Autores: Ronald Rodrigues de Moura, Antonio Victor Campos Coelho, Valdir de Queiroz Balbino, Sergio Crovella, Lucas André Cavalcanti Brandão

Revista: American Journal Of Human Biology (2015)

Fator de Impacto (QUALIS): 1.78 (B2)

O texto referente a esse Capítulo pode ser encontrado no Apêndice A.

5 A RAPID SCREENING OF ANCESTRY FOR GENETIC ASSOCIATION STUDIES IN AN ADMIXED POPULATION FROM PERNAMBUCO, BRAZIL

Autores: Antonio Victor Campos Coelho, Ronald Rodrigues de Moura, Catarina Addobbati Jordão Cavalcanti, Rafael Lima Guimarães, Paula Sandrin Garcia, Sergio Crovella, Lucas André Cavalcanti Brandão

Revista: Genetics and Molecular Research (2015)

Fator de Impacto (QUALIS): 1.013 (B4)

O texto referente a esse Capítulo pode ser encontrado no Apêndice B.

6 ON THE USE OF CHINESE POPULATION AS A PROXY OF AMERINDIAN ANCESTORS IN GENETIC ADMIXTURE STUDIES WITH LATIN AMERICAN POPULATIONS

Autores: Ronald Rodrigues de Moura, Valdir de Queiroz Balbino, Sergio Crovella, Lucas André Cavalcanti Brandão

Revista: European Journal of Human Genetics (2015)

Fator de Impacto (QUALIS): 4.287 (A2)

O texto referente a esse Capítulo pode ser encontrado no Apêndice C.

7 ANTIRETROVIRAL THERAPY IMMUNOLOGIC NON-RESPONSE IN A BRAZILIAN POPULATION: ASSOCIATION STUDY USING PHARMACO- AND IMMUNOGENETIC MARKERS

Autores: Antônio Victor Campos Coelho, Ronald Rodrigues de Moura, Rafael Lima Guimarães, Lucas André Cavalcanti Brandão. Sergio Crovella

Revista: Pharmacogenetics and Genomics

Fator de Impacto (QUALIS): 2.184 (B1)

O texto referente a esse Capítulo pode ser encontrado no Apêndice D.

8 DISCUSSÃO GERAL

Com base nos resultados encontrados até agora, podemos observar que há miscigenação genética em todas as América e Caribe, respeitando uma matriz composta de populações parentais africanas, ameríndias e europeias. As proporções de ancestralidade de cada uma dessas populações variam dramaticamente, muito em parte dos eventos históricos e sociais ocorridos ao longo dos períodos de povoamento e migração. No Brasil, a situação é semelhante, destacando a contribuição europeia predominante (acima de 50%) ao longo de todo o território.

Em Pernambuco, encontramos um exemplo do que é observado para todo o Brasil. Além disso, ao avaliar uma relação entre miscigenação genética e cor da pele autodeclarada, percebemos apesar de haver uma relação, essa correlação é fraca de modo que há uma alta sobreposição das proporções de ancestralidade europeia e africana entre indivíduos brancos, pardos e pretos. Sendo assim, cor da pele não é visto como um bom indicador de ancestralidade genética.

Um aspecto técnico das inferências de miscigenação genética explorado foi o uso correto das populações parentais nos testes, onde a recomendação é a de que sejam utilizadas populações que representem os grupos biogeográficos de onde as populações parentais vieram. Portanto, para populações latino-americanas, deve-se usar populações de referência europeia, africana e nativo-americana.

Outro ponto a ser considerado é a possível estruturação genética que pode ser observada em estudos de associação em populações miscigenadas, como a população de Pernambuco. Apesar dessa estruturação não ter sido detectada no estudo de associação apresentação do capítulo IV, outros estudos com desenho experimental similar podem sofrer desse efeito durante o processo de amostragem.

9 CONCLUSÕES

Como conclusão dessa tese, pudemos observar que: a população brasileira em geral, possui natureza tri-híbrida, com proporções das populações parentais variando ligeiramente de acordo com as regiões geográficas do país; a população pernambucana segue a tendência da população brasileira, que é uma contribuição genética europeia predominante; e ressaltou a importância de avaliar a miscigenação presente em amostragens para estudos de associação genética.

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**APÊNDICE A - META-ANALYSIS OF BRAZILIAN GENETIC ADMIXTURE AND
COMPARISON WITH OTHER LATIN AMERICA COUNTRIES**

Original Research Article

Meta-Analysis of Brazilian Genetic Admixture and Comparison with Other Latin America Countries

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Objectives: This study aims at performing a systematic review and meta-analysis with the studies of genetic admixture inference of Brazilian population and to compare these results with the genetic admixture levels in other Latin American countries.

Methods: We searched for articles regarding the estimation of Brazilian genetic admixture published between 1980 and 2014 that used autosomal markers. Then, conducted meta-analyses at the whole-country and regional level. Finally, we compared the results of Brazil with other estimates from other South, Central and North American countries.

Results: We analyzed data from 25 studies in 38 different Brazilian populations. European (EUR) ancestry is the major contributor to the genetic background of Brazilians, followed by African (AFR), and Amerindian (AMR) ancestries. The pooled ancestry contributions were 0.62 EUR, 0.21 AFR, and 0.17AMR. The Southern region had a greater EUR contribution (0.77) than other regions. Individuals from the Northeast (NE) region had the highest AFR contribution (0.27) whereas individuals from the North regions had more AMR contribution (0.32). In the Latin America context, Brazil has the 5th high EUR contribution, the 12th for the AFR component and the 10th for the AMR ancestry.

Conclusions: Admixture proportions vary greatly among Brazilian populations and also through Latin America. More studies in the Center-West, North and NE regions are needed to capture a more complete picture of the genomic ancestry of Brazil. *Am. J. Hum. Biol.* 27:674–680, 2015. © 2015 Wiley Periodicals, Inc.

Latin America populations exhibit varying degrees of genetic admixture due to different historical processes that have occurred since the end of the 15th century, leaving genetic traces of European (EUR), African (AFR), and Native American populations in the genomes of these individuals. Brazilian populations are not an exception to this general pattern (Salzano; Sans, 2014).

The colonization history of Brazil began in the 16th century, when the first Portuguese settlers (about a half million) started to mix with the indigenous populations (about 2.5 million) and then with AFR slaves (about 4 million) (IBGE, 2007). Moreover, after the establishment of the Republic of Brazil, in the 19th century, individuals from other nations migrated to Brazil (including Italians, Germans, and Japanese) (IBGE, 2007).

According to the last national census, Brazil has a population of about 200 million (IBGE, 2013). Genetic admixture has been directly influenced by this colonization process resulting in Brazil becoming a genetically tri-hybrid population. The genomic inheritance of EUR, AFR, and Amerindian (AMR) groups can be traced through the analysis of autosomal (Manta et al., 2013; Pena et al., 2011), sex chromosomes, and mitochondrial genetic information (Alves-silva et al., 2000; Palha et al., 2012).

Despite early insights about the Brazilian genetic ancestry emerging in the 1960s (Krieger et al., 1965), researchers have only extensively investigated the genetic contribution of EUR, AFR, and AMR ancestors to the genetic background of Brazil population from the 1980s onwards (Callegari-Jacques et al., 2003; Pena et al., 2009; Santos and Guerreiro, 1995; Schneider and Salzano, 1979).

Genomic admixture studies support the idea that it is not possible to use externally visible characteristics, such

as hair, eye and skin color or even facial morphology, to infer the genetic ancestry of Brazilian individuals. These observations have clinical and social implications for affirmative policies implementation, case-control studies design, disease association studies and pharmacogenetics studies (Lins et al., 2011; Pena, 2005; Pena and Birchall, 2006; Suarez-kurtz et al., 2012).

To assess the ancestral proportion in Brazilian individuals, different parts of the genome have been analyzed, such as mitochondrial DNA (mtDNA) (Alves-silva et al. 2000), short tandem repeats (STR) (Callegari-Jacques et al., 2003), insertion/deletions (INDELS) (Santos et al., 2010) and single nucleotide polymorphisms (SNP) (Giolo et al., 2012). Criteria for ancestry informative markers (AIM) selection also varies among the studies, such as the absolute difference between the allele frequencies (d), F statistics and the Informativeness for assignment (In) measure (Ding et al., 2011). Different methods to select the AIMS, the number of markers adopted in the study

Additional Supporting Information may be found in the online version of this article.

Contract grant sponsor: Fundação de Amparo à Pesquisa do Estado de Pernambuco (FACEPE); Contract grant number: APQ-1530-2.02/12; Contract grant sponsor: Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq); Contract grant number: 475350/2011-1.

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Received 6 May 2014; Revision received 22 January 2015; Accepted 11 February 2015

DOI: 10.1002/ajhb.22714
Published online 26 March 2015 in Wiley Online Library (wileyonlinelibrary.com).

TABLE 1. Characterization of the studies included in the meta-analysis

Year	Author	State	Region	Type of marker	Nº of markers	Nº individuals	EUR ^a	AFR ^a	AMR ^a
1982	Schuller et al.	Amazonas	North	Blood systems	7	595	0.3600	0.1300	0.5100
1983	Santos et al.	Amazonas	North	Blood systems	3	954	0.6100	0.1200	0.2700
1984	Rosa et al.	Amazonas	North	Blood systems	8	811	0.4300	0.1400	0.4300
1987	Santos et al.	Pará	North	Blood systems	10	206	0.5700	0.1500	0.2800
1993	Guerreiro et al.	Pará	North	Blood systems	11	250	0.3800	0.1000	0.5200
1995	Ribeiro-dos-Santos et al.	Pará	North	Blood systems	13	500	0.4200	0.3300	0.2500
2005	Ferreira et al.	Maranhão	Northeast	STR/VNTR	5	177	0.4200	0.1900	0.3900
2006	Ferreira et al.	São Paulo	Southeast	STR	8	400	0.7900	0.1400	0.0700
2009	Scliar et al.	Minas Gerais	Southeast	STR/VNTR	13	234	0.6600	0.3200	0.0200
2010	Santos et al.	Pará	North	INDEL	48	196	0.6140	0.1170	0.2690
2010	Felix et al.	Bahia	Northeast	Alu/INDEL/ Restriction sites	7	289	0.4400	0.4900	0.0700
2010	Silva et al.	Minas Gerais	Southeast	SNP	14	24	0.5200	0.3900	0.0900
2010	Silva et al.	Minas Gerais	Southeast	SNP	14	30	0.7300	0.1900	0.0800
2011	Francez et al.	Amapá	North	STR	12	307	0.4600	0.1900	0.3500
2011	Martins et al.	São Paulo	Southeast	STR	15	403	0.7600	0.1800	0.0600
2011	Pena et al.	Pará	North	INDEL	40	203	0.7820	0.0770	0.1410
2011	Pena et al.	Ceará	Northeast	INDEL	40	82	0.7580	0.1330	0.1090
2011	Pena et al.	Bahia	Northeast	INDEL	40	147	0.6680	0.2440	0.0880
2011	Pena et al.	Rio de Janeiro	Southeast	INDEL	40	264	0.8610	0.0740	0.0650
2011	Pena et al.	Rio Grande do Sul	South	INDEL	40	189	0.8600	0.0500	0.0900
2011	Lins et al.	Distrito Federal	Centre-West	SNP/INDEL	13	189	0.6290	0.2540	0.1170
2011	Leite et al.	Distrito Federal	Centre-West	SNP	21	172	0.6900	0.2100	0.1000
2012	Francez et al.	Amapá	North	INDEL	48	130	0.5000	0.2900	0.2100
2012	Giolo et al.	São Paulo	Southeast	SNP	100	138	0.6100	0.2400	0.1500
2012	Pereira et al.	Pará	North	INDEL	46	226	0.5370	0.1680	0.2950
2012	Manta et al.	Rio de Janeiro	Southeast	INDEL	46	280	0.5520	0.3110	0.1370
2013	Cardena et al.	São Paulo	Southeast	INDEL	48	492	0.5740	0.2830	0.1430
2013	Manta et al.	Amazonas	North	INDEL	46	42	0.4590	0.1630	0.3780
2013	Manta et al.	Pernambuco	Northeast	INDEL	46	133	0.5680	0.2790	0.1530
2013	Manta et al.	Alagoas	Northeast	INDEL	46	104	0.5470	0.2660	0.1870
2013	Manta et al.	Mato Grosso do Sul	Centre-West	INDEL	46	84	0.5880	0.2590	0.1530
2013	Manta et al.	Minas Gerais	Southeast	INDEL	46	88	0.5920	0.2890	0.1190
2013	Manta et al.	Esprito Santo	Southeast	INDEL	46	92	0.7410	0.1340	0.1250
2013	Manta et al.	São Paulo	Southeast	INDEL	46	49	0.6290	0.2550	0.1160
2013	Manta et al.	Paraná	South	INDEL	46	21	0.7100	0.1750	0.1150
2013	Manta et al.	Santa Catarina	South	INDEL	46	20	0.7970	0.1140	0.0890
2013	Manta et al.	Rio Grande do Sul	South	INDEL	46	23	0.7290	0.1400	0.1300
2013	Queiroz et al.	Minas Gerais	Southeast	SNP	15	189	0.5030	0.3330	0.1640

^aEUR, AFR, and AMR ancestries. STR: short tandem repeat; VNTR: variable number tandem repeat; INDEL: insertion/deletion; SNP: Single nucleotide polymorphism.

and different sampling strategies may contribute to divergent results among the studies (Manta et al., 2013).

In this context, we performed a systematic review with meta-analysis of the genetic admixture studies of the Brazilian population to estimate the pooled proportions of the EUR, AFR, and AMR contributions and compare these findings with the estimates of other countries in Latin America using the same approach.

MATERIAL AND METHODS

Literature search

The literature search was performed using the PubMed (www.ncbi.nlm.nih.gov/pubmed), Web of knowledge (www.webofknowledge.com), Scielo (www.scielo.org) and Google scholar (www.scholar.google.com) databases for studies published from 1980 to 2014 and also through the references cited in the articles. The key terms for the literature search were: “Brazilian Human Genetic admixture,” “Brazilian Human ancestry,” “Brazilian Human sub-structure,” “Brazilian Human admixture” and “ancestry informative markers Brazilian population.”

Inclusion/exclusion criteria

The inclusion criteria for the meta-analysis were (1) studies that provided the number of individuals studied per population (see the Supporting Information 2 for the

Brazilian geographic distribution); (2) the use of nuclear markers to infer the genetic ancestry; and (3) explicitly information concerning the genetic proportions of the EUR, AFR, and AMR ancestries for each population (city) studied. In order to avoid possible bias, we also excluded from the meta-analysis studies focusing on completely or partially isolated populations, such as Asian and EUR colonies, indigenous tribes or AFR communities (Quilombos) remaining from the extensive period of slavery in the country (from 16th to late 18th century).

Data extraction

After excluding the articles that did not comply with the inclusion criteria, we retrieved the name of the first author, year of publication, type of genetic marker used (e.g., INDELs, SNP), number of genetic markers, geographic location of the population (e.g., São Paulo, Rio de Janeiro, Recife), sample size and the proportions of EUR, AFR, and AMR ancestries.

Statistical analysis

Standardized raw proportions of ancestry. The number of AIMS varied across the selected studies. To correct for any possible bias due to this variation, we developed two simple formulae that yielded raw proportions of EUR, AFR, and AMR ancestry:

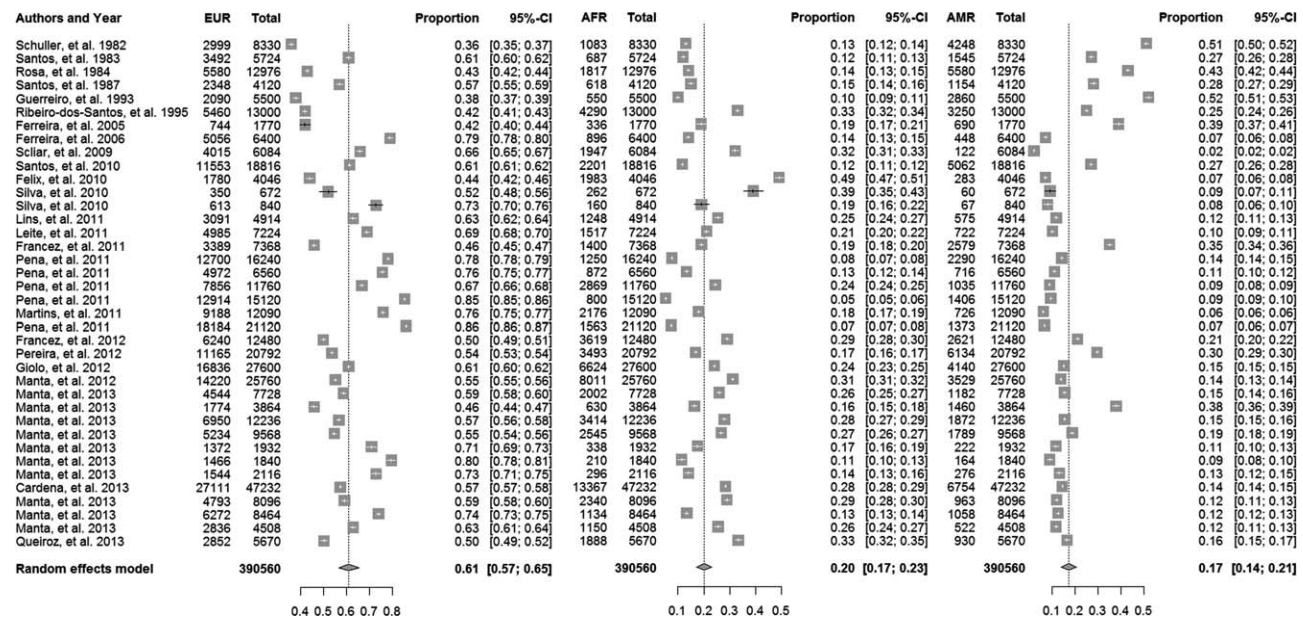


Fig. 1. Forest plot of the whole-Brazil EUR, AFR, and AMR ancestry proportions meta-analysis. The count of alleles, raw proportion, the exact confidence intervals (95% CI) and the weight (W) of each study included in the meta-analysis are shown. In the lower part of the plot, the pooled proportions with its 95% CI, and the values of the between-studies heterogeneity (s^2 and I^2) can also be seen.

$$r \frac{1}{4} a_i x b_i x c_i x d$$

(1) erogeneity were found ($I^2 > 90\%$; $P < 0.1000$), we applied random effect model for all meta-analyses (DerSimonian and Laird, 1986). We calculated the transformed and pooled proportions using the Freeman-Tukey Double arc-sine transformation (Freeman and Tukey, 1950), with its Clopper-Pearson confidence intervals, using both fixed and random effect models as well as the weight of the individual studies in the meta-analysis. All these results were displayed in the form of forest plots.

$$n \frac{1}{4} b_i x c_i x d$$

(2)

In the equations above, r is the probable number of alleles of a given ancestry; n is the total number of alleles sampled; a denotes a value between 0 and 1 which correspond to the inferred ancestry proportion for the study; b , represents the sample size; c , the number of AIMs used; and d represents the number of chromosome sets of the organism (humans are diploid). A raw proportion is simply a division between r and n (r/n).

As a demonstration, in a study with 196 individuals from Par state, using 48 INDELs as AIMs, Santos et al. (2010) found values of 0.6140, 0.1170, and 0.2690 for the contributions of EUR, AFR, and AMR ancestries, respectively. Using the Eqs. (1) and (2) for the EUR estimate, we found $r_{eur} = 50.6140 \times 196 \times 48 \times 2$, which is 11,553; $n = 196 \times 48 \times 2$, which is 18,816. These numbers can represent the number of “European alleles” (r_{eur}) among all alleles (n). The same procedures can be done to estimate the AFR and AMR contribution.

With these formulae, we were able to obtain an ancestry estimate corrected by the number of AIMs used by each authors in their studies.

Meta-analysis

With the corrected ancestry estimates, we performed a meta-analysis for single proportions using the R package “meta” (R Core Team, 2013) for each ancestry separately. This package is able to calculate an overall proportion and its variance from datasets reporting a single proportion.

The I^2 and s^2 measures were adopted to inform heterogeneity between-studies. Since high values of het-

RESULTS

Characteristics of the elected studies

After a global literature search including papers published from 1980 to 2014, we selected 25 studies to be considered in our meta-analysis. In these studies, the nuclear genetic ancestry (EUR, AFR, and AMR) in 38 different populations from 17 different Brazilian states was assessed. Par  was the state with most populations studied (six) followed by S o Paulo and Minas Gerais (both with five populations). Table 1 shows a summary of all studies included in the meta-analysis.

Regarding the type of AIMs used in these analyses, we subdivided the studies into four main categories: those using INDELs (Cardena et al., 2013; Kimura et al., 2013; Manta et al., 2012, 2013; Pena et al., 2011; Pereira et al., 2012; Santos et al., 2010), SNPs (Leite et al., 2011; Lins et al., 2011; Giolo et al., 2012; Queiroz et al., 2013; Silva et al., 2010), STR/VNTRs (Ferreira et al. 2005, 2006; Francez et al., 2011, 2012; Martins et al., 2011; Scliar et al., 2009) or blood group markers (Santos et al., 1983, 1987; Schuler et al., 1982; Rosa et al. 1984; Guerreiro et al. 1993; Ribeiro-dos-Santos et al., 1995). The only exception was the study of Felix et al. (2010), which employed a set of Alu elements, INDELs and restriction sites.

TABLE 2. Pooled ancestry proportions by Brazilian’s geopolitical region

Ancestry proportions (95% CI)			
Region	EUR ^a	AFR ^a	AMR ^a
North	0.51 (0.44–0.59)	0.16 (0.12–0.21)	0.32 (0.26–0.39)
Northeast	0.58 (0.48–0.66)	0.27 (0.19–0.34)	0.15 (0.10–0.21)
Centre-West	0.64 (0.58–0.69)	0.24 (0.21–0.27)	0.12 (0.09–0.16)
South	0.77 (0.69–0.85)	0.12 (0.06–0.19)	0.11 (0.09–0.12)
Southeast	0.67 (0.59–0.72)	0.23 (0.18–0.29)	0.10 (0.08–0.12)

^aEUR, AFR, and AMR ancestries.

The first group employed sets of INDELs ranging from 40 to 48 mostly based on a larger dataset (Weber et al., 2002), sometimes sharing selected markers (Pena et al., 2011; Pereira et al., 2012; Santos et al., 2010) or the whole set in other cases (Manta et al., 2012, 2013; Pereira et al., 2012). The SNP group chose the AIMs from more sources (Bonilla et al., 2004; Fernández et al., 2003; Packer et al., 2006; Smith et al., 2004; Tian et al., 2006). They also share selected markers (Leite et al., 2011; Lins et al., 2011) or the whole set (Leite et al., 2011; Queiroz et al., 2013). Although the studies shared some variants in their sets, the source of the AIMs in the STR/VNTR group were not detailed with the exception of the study of Martins et al. (2011), which used a commercial kit. Finally, the blood group markers used in the six studies are detailed in the paper from Guerreiro et al. (1993).

The INDEL group elaborated its datasets using d and F_{ST} measures. The SNP group was more divergent regarding the criteria of selection using combinations of d, F_{ST}, In, and even principal component analysis (Giolo et al., 2012). The authors who used STR/VNTR and blood group markers did not specify the criteria used to select their AIMs.

Some studies used autosomal markers, but analyzed the data according to region and not according to state (Callegari-Jacques et al., 2003; Godinho et al., 2008; Lins et al., 2010) or they evaluated the ancestral proportions in semi or completely isolated populations (Callegari-jacques and Salzano, 1999; Maciel et al., 2011; Salzano et al., 1997). These studies were not included in the meta-analysis, although they served for comparison with our results.

Brazilian genetic admixture

We conducted the meta-analysis including the 25 studies that aggregated 3,90,560 alleles in 8,733 Brazilian individuals. The pooled proportions of European, AFR, and AMR ancestry with their respective confidence intervals are summarized in the forest plots (Fig. 1). The major contribution came from Europeans (0.61), followed by AFRs (0.20) and AMRs (0.17). It should be noted that the sum of the proportions is not equal to 1 (indeed, it is 0.98). This may happen because of rounding processes that occurred during the calculations. To circumvent this problem, we simply standardized the values dividing each pooled contribution by the sum of them. Therefore, for the EUR contribution we have 0.62 (i.e., 0.61/0.98), for AFR 0.21 (0.20/0.98) and 0.17 (0.17/0.98) for AMR ancestry.

We performed separated tests for the five Brazilian’s geopolitical regions: North (N), NE, Centre-West (CW), South (S), Southeast (SE). The number of populations

TABLE 3. Genetic admixture proportions of EUR, AFR, and AMR parental populations in American countries

Country	EUR	AFR	AMR	Reference
Peru	0.06	0.02	0.92	This study ^a
Ecuador	0.19	0.08	0.73	González-Andrade et al. (2007)
Mexico	0.31	0.06	0.62	This study ^a
Chile	0.42	0.02	0.56	Wang et al. (2008)
Guatemala	0.40	0.07	0.53	Wang et al. (2008)
Colombia	0.42	0.11	0.44	This study ^a
Argentina	0.54	0.03	0.42	This study ^a
Costa Rica	0.58	0.04	0.38	Ruiz-Narváez et al. (2010)
WC-USA ^b	0.56	0.08	0.36	Halder et al. (2009)
Venezuela	0.60	0.14	0.25	This study ^a
Brazil	0.62	0.21	0.17	This study ^a
EC-USA ^b	0.65	0.18	0.17	Halder et al. (2009)
Dominica	0.28	0.56	0.16	Torres et al. (2013)
Puerto Rico	0.65	0.20	0.14	This study ^a
Nicaragua	0.69	0.20	0.11	Núñez et al. (2010)
Uruguay	0.84	0.06	0.10	Hidalgo et al. (2005)
Trinidad and Tobago	0.16	0.75	0.09	Torres et al. (2013)
Jamaica	0.10	0.82	0.08	Torres et al. (2013)
St. Lucia	0.18	0.75	0.07	Torres et al. (2013)
Grenada	0.12	0.81	0.07	Torres et al. (2013)
St. Thomas	0.17	0.77	0.06	Torres et al. (2013)
St. Vincent	0.13	0.81	0.06	Torres et al. (2013)
St. Kitts and Nevis	0.08	0.86	0.06	Torres et al. (2013)
AA-USA ^b	0.16	0.81	0.04	Halder et al. (2009)
EA-USA ^b	0.98	0.01	0.01	Halder et al. (2009)
Cuba	0.73	0.26	0.01	Diaz-Horta et al. (2010)
Bahamas	0.04	0.96	0.00	Simms et al. (2010)
Haiti	0.04	0.96	0.00	Simms et al. (2010)

The data are listed in descendent order of AMR contribution.
^aWith the exception of the references used in the Brazilian meta-analyses, the complete references for this table can be found in the Supporting Information 3.
^bAA-USA stands for AFR American from United States of America (USA); EA is European American; EC is East Coast Hispanics; WC is West Coast Hispanics.

(and the total number of individuals in parenthesis) per region was as follows: N 512 (4,420), NE 56 (932), CW 53 (445), S 54 (253), and SE 513 (2,683). Table 2 summarizes the pooled proportions of the EUR, AFR, and AMR ancestries per region.

The Southern region of Brazil had a greater EUR contribution (0.77) than other regions. The NE with 0.27 and the North with 0.32 were the regions with greater AFR and AMR contributions, respectively. The complete analysis of Brazilian genomic ancestry according to geographic region is described in the Supporting Information 1.

Admixture proportions in Latin America countries

Recently, Salzano and Sans (2014) published a review which discussed the genetic admixture in Latin American populations, although they did not explore the data using a meta-analytical approach. Therefore, based on their article, we expanded our analysis in order to verify the proportions of parental populations (AFR, AMR, and EUR) in other countries from Latin America using meta-analyses of genetic admixture studies carried out in populations from Argentina (20 populations), Colombia (25), Mexico (23), Peru (25), Puerto Rico (8), and Venezuela (5) using the same inclusion criteria applied for Brazilian meta-analysis. These results are summarized in Table 3. In this table, we also listed admixture proportions for other American countries’ populations (including USA), which did not have sufficient published data to allow meta-analysis to better represent genetic admixture in Latin America.

After conducting the meta-analyses, the Mexican population has a pooled 0.31, 0.06, and 0.62 of EUR, AFR, and

AMR ancestry proportions, respectively. In Central America, the Nicaraguan population had the highest EUR and AFR contributions (0.69 and 0.20, respectively), whilst the highest AMR contribution was described in Guatemala (0.53). Among the South American countries, Peruvians showed 0.92 of AMR contribution, whereas Brazilians had 0.21 AFR contribution. Uruguayans showed the highest EUR contribution (0.84).

Among Caribbean Islands, Haiti, and the Bahamas had almost total AFR contributions (0.96), while Cuba had 0.73 of EUR ancestry and Dominica 0.16 AMR ancestry.

DISCUSSION

In the last two decades, genetic admixture in Brazilian populations has been a matter of concerted investigation. These investigations attempted to delineate the composition of Brazilians' genetic background in uniparental (Alves-Silva et al., 2000; Carvalho-silva et al., 2001) and biparental contexts (Santos and Guerreiro, 1995; Schneider and Salzano, 1979; Salzano et al., 1997; Callegari-jacques et al., 2003; Parra et al., 2003).

Although several studies have demonstrated the genetic admixture in various populations through all Brazil and other Latin America countries, there is no systematic review compiling these studies in order to establish overall results based on available data. This was the main objective of the present work.

Throughout our search, we found a considerable number of articles that used only uniparental markers, such as Marrero et al. (2005), Guerreiro-Junior et al. (2009), and Bernardo et al. (2014) who used mtDNA, and Silva et al. (2006) and Carvalho et al. (2010) who used Y-chromosome markers. However, the majority of data came from Southeastern and Southern regions, which will bias the meta-analysis results. Therefore, we decided to concentrate on only autosomal markers for this article.

Considering all Brazilian populations studied, we found that the pooled EUR, AFR and AMR ancestry proportions were 0.62, 0.21, and 0.17, respectively. These results are in agreement with other findings describing the highest contribution of EURs, followed by AFRs and AMRs, to Brazilians (Godinho et al., 2008; Lins et al., 2010). We observed high values of between-study heterogeneity. This heterogeneity might be due to the differences in sample sizes and different number and sets of markers used. For example, Manta and et al. (2013) and Scliar et al. (2009), using INDELs and microsatellite data, respectively, found different ancestry proportions for Brazilians (see Table 1). We can also hypothesize that different contexts of admixture processes occurred in the study populations as well as social and cultural influences that may account for this heterogeneity.

In the Latin American context, Brazil has the 5th highest EUR genetic ancestry (0.62) after Uruguay (0.84), Cuba (0.73), Nicaragua (0.69) and Puerto Rico (0.65). In general, EUR ancestors made a larger genetic contribution in the Atlantic side of the American continent, whereas the AMR contribution occurred predominantly at the Pacific side. Peru, Ecuador and Mexico are the three countries with the highest American Native contributions (0.92, 0.73 and 0.62, respectively). These countries were formerly the core of Inca (Ecuador and Peru) and Aztec (Mexico) Empires. Despite the massive depopulation due to epidemics, exploitation and war during the Spanish

invasion, natives from these populations were more involved in the admixture process than other indigenous populations (Salzano and Callegari-Jacques, 1988). Brazil is the 10th population with respect to AMR proportion (0.17).

Although Brazil has the highest AFR contribution among South American countries (0.21), it is the 12th for AFR contribution if we consider Latin America as a whole, since Caribbean countries have the highest estimates for AFR ancestry, such as Bahamas and Haiti with 96% of AFR contribution. During the colonization of the Caribbean Islands by British, France, Spanish and other EUR countries, almost all indigenous people were killed or deported, requiring them to import a large number of AFR Slaves to work on sugar-cane and coffee plantations (Knight, 1997). These slaves played a vital role in the historical and genetic composition of Caribbean countries. In Haiti, for example, after the Haitian revolution in the early 19th century, much of the French colonizers left the island (Pamphile, 2001).

The same scenario evidenced at national scale has also been observed at regional scale in Brazil. The EUR contribution is the highest in all five regions of Brazil. With the exception of Lins et al. (2010), who found more AMR contribution in Central-West than in North Brazil, our results agree with other authors who reported an increased value for the AMR ancestry in the North in comparison with the other regions and an higher EUR contribution in the South (Callegari-jacques et al., 2003; Godinho et al., 2008; Manta et al., 2013; Pena et al., 2011).

Although the Southern region of Brazil has the lowest AFR proportion (0.12), this value is high compared to the bordering countries such as Argentina (0.03) and Uruguay (0.06). On the other hand, in the Northern region the AMR proportion is the highest (0.32) in the country, also higher when compared to Venezuela (0.25) but lower if compared to Colombia (0.44) and Peru (0.92).

The regional genomic distribution found in Brazilian is linked with the different colonization history of each region. For example, the South region received successive migration cycles of EURs during the 16th (Portuguese) and 19th (Germans and Italians) centuries. Moreover, the majority of AFR slaves arrived and settled in Brazil's NE and SE before moving to other Brazilian regions (Conrad, 1973; Levy, 1974; IBGE, 2007). These observations help to explain why, according to our meta-analysis, the South region has 77% of EUR genomic ancestry, whereas NE has 58%. The opposite can be seen when we look at the AFR contribution: 12% in the South and 27% in NE.

Using F-statistics, two studies reported significant genetic distance between populations from different regions from Brazil. Lins et al. (2010) obtained significant values when comparing the South region population with those from other regions. In the other study, Manta et al. (2013) also verified divergence between urban populations from South and populations from North, NE and CW regions. It is important to bear in mind that, although these authors reported significant distance between Brazilian populations, the magnitude of these differences did not reach more than 10%, which represents a low to moderate genetic divergence according to Wright's qualitative guidelines (Wright, 1978). If compared with proxies of parental populations (e.g. CEU and YRI populations from HapMap Project), the F_{ST} can reach values of 44%

depending on the proportion of a given ancestry present in the Brazilian population that is been compared (Manta et al., 2013).

Some articles not included in our meta-analysis evaluated admixture in AFR (Quilombos) and AMR communities. These studies found AFR contributions ranging from 0.32 to 0.92 (Kimura et al., 2013; Maciel et al., 2011; Scliar et al., 2009) and AMR contributions ranging from 0.25 to 0.97 (Callegari-jacques and Salzano, 1999; Manta et al., 2013; Salzano et al., 1997). These values are superior to the pooled AFR and AMR contribution of our meta-analysis for the whole of Brazil (0.21 and 0.17, respectively).

Some of the studies included in our meta-analysis investigated the correlation between self-reported skin color and genomic ancestry in Brazilian population (Leite et al., 2011; Lins et al., 2011; Pena et al., 2011; Queiroz et al., 2013). From those papers, Leite et al. (2011) used quantitative measures to evaluate the associations between melanin index, self-reported skin color and genomic ancestry among pairs of siblings.

Although they found statistical differences between self-reported skin color and melanin index, there was considerable overlap between groups. Furthermore, the correlations between self-reported skin color versus genomic ancestry and melanin index versus genomic ancestry also had considerable overlap between skin color categories and continental ancestry. These results are in agreement with other studies in Latin America that pointed to similar findings (Parra et al., 2004; Ruiz-Linares et al., 2014).

Moreover, self-perception of ancestry is biased not only by skin color but also by other phenotypic traits, such as iris and hair color, which tend to overestimate EUR ancestry, and hair type and some facial characteristics that may overestimate AFR ancestry. Apart from phenotypic traits, socioeconomic factors also contribute to this self-perception, with wealth and education also tending to overestimate EUR ancestry (Ruiz-Linares et al., 2014).

Considering the geographic distribution of the study populations (Supporting Information 2), 10 states had no information about the nuclear genetic ancestry of their populations; most of them are from the North and NE regions. Moreover, only three studies were conducted in populations from CW. Therefore, further studies are required to better elucidate and correctly describe the genomic ancestry of CW, North and NE Brazilian regions. It is well worth noting that the study of the Brazilian ancestry is also making an important contribution to clinical research, providing fundamental information about the development of genetic association studies searching for disease-related markers or clinical epidemiological analyses (Lins et al., 2011; Pinto et al., 2012; Suarez-kurtz et al., 2012): Moreover, ancestry studies are subsidizing others issues, such as sociological debates (Pena, 2005; Pena and Birchall, 2006).

CONCLUSION

In the present work, we used a meta-analytic approach to compile various studies on the genetic ancestry of Brazilian populations, based on nuclear markers, and compared the results with the admixture data for other countries in Latin America. We concluded that the pooled proportions of EUR, AFR, and AMR ancestries in Brazil (globally considered) are 0.62, 0.21, and 0.17, respectively. These values, mainly for AFR and AMR contributions, are intermediate when

compared to other Latin American countries. At the regional level, as expected, the highest AMR contribution occurred in the Northern region, the highest AFR contribution in the Northeastern region and the highest EUR contribution is in the Southern region. More studies in the CW, North and NE regions are needed to capture the whole landscape of the genomic ancestry of Brazil.

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**APÊNDICE B - A RAPID SCREENING OF ANCESTRY FOR GENETIC
ASSOCIATION STUDIES IN AN ADMIXED POPULATION FROM PERNAMBUCO,
BRAZIL**



A rapid screening of ancestry for genetic association studies in an admixed population from Pernambuco, Brazil

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Genet. Mol. Res. 14 (1): 2876-2884 (2015)

Received March 21, 2014

Accepted November 13, 2014

Published March 31, 2015

DOI <http://dx.doi.org/10.4238/2015.March.31.18>

ABSTRACT. Genetic association studies determine how genes influence traits. However, non-detected population substructure may bias the analysis, resulting in spurious results. One method to detect substructure is to genotype ancestry informative markers (AIMs) besides the candidate variants, quantifying how much ancestral populations contribute to the samples' genetic background. The present study aimed to use a minimum quantity of markers, while retaining full potential to estimate ancestries. We tested the feasibility of a subset of the 12 most informative markers from a previously established study to estimate influence from three ancestral populations: European, African and Amerindian. The results showed that in a sample with a diverse ethnicity (N = 822) derived

from 1000 Genomes database, the 12 AIMs had the same capacity to estimate ancestries when compared to the original set of 128 AIMs, since estimates from the two panels were closely correlated. Thus, these 12 SNPs were used to estimate ancestry in a new sample (N = 192) from an admixed population in Recife, Northeast Brazil. The ancestry estimates from Recife subjects were in accordance with previous studies, showing that Northeastern Brazilian populations show great influence from European ancestry (59.7%), followed by African (23.0%) and Amerindian (17.3%) ancestries. Ethnicity self-classification according to skin-color was confirmed to be a poor indicator of population substructure in Brazilians, since ancestry estimates overlapped between classifications. Thus, our streamlined panel of 12 markers may substitute panels with more markers, while retaining the capacity to control for population substructure and admixture, thereby reducing sample processing time.

Key words: Brazilian genetic admixture; Population structure; Ethnicity; Ancestry informative markers; SNP; Association studies

INTRODUCTION

Genetic association studies (GAS) are conducted to determine which genetic factors underlie susceptibility to complex diseases. Single nucleotide polymorphisms (SNPs) are the main genetic variations analyzed in GAS. In fact, SNPs have a great part in inter-individual differences (Sachidanandam et al., 2001). Moreover, SNP genotyping can be performed easily and inexpensively in a wide range of high-throughput technologies.

Generally, two groups of unrelated subjects are genotyped in GAS: one carrying a determined trait, such as a disease (cases), and another not carrying it (controls). Thus, if one of the alleles is more frequent in one group than the other, it is associated with the presence/absence of the trait, meaning that its presence may contribute to risk/protection in relation to the disease (Lewis, 2002).

A positive association may represent three situations: 1) the allele has indeed a causal role in the trait; 2) the allele is not causal, but is in linkage disequilibrium with the true causal polymorphism or 3) the observed association could be a spurious one (Cordell and Clayton, 2005). The last circumstance could be interpreted as a false-positive result.

A false-positive result, due to spurious association, may arise from undetected population substructure (stratification). For example, in an admixed population, individuals heterogeneous for their genetic backgrounds result from unequal genetic contribution from ancestral populations. Thus, during sampling, a subpopulation may be overrepresented in the cases and/or in the controls. This introduces bias on allele frequencies, which could result in a false positive during statistical analysis (Balding, 2006).

Population substructure thus is regarded as a confounding factor, and as a consequence, some methods were proposed to control for this issue. They all have in common the requirement for genotyping of unlinked polymorphisms not associated with the trait of interest in all sampled subjects (both cases and controls) and have been defined as “null SNPs” (Balding, 2006).

Some authors have proposed SNP panels for use in this context. Kosoy et al. (2009),

for instance, applied the “informativeness” algorithm developed by Rosenberg et al. (2003) to select 128 SNPs, designated ancestry informative markers (AIMs). They were used to infer European, African and Amerindian ancestry from ethnically diverse populations from United States (US) cities. It is important to clarify that we understand the term “ethnicity” as the social identity of a group or population with few or no relationship with its actual genetic background (Ali-Khan et al., 2011).

Brazilian populations were also founded by admixture from these three ancestral populations. This resulted in a peculiar population composition, where self-reported ancestry (based on skin color) does not correlate or reflect real ancestry, thus being a poor predictor of population substructure, when compared to more “homogeneous” populations in other countries (Pena et al., 2009).

Thus, our research group intended to explore these AIMs to estimate ancestry proportions in populations from the Recife metropolitan region, to avoid spurious results in GAS. Recife is the capital of Pernambuco State, which is located in Northeast Brazil, a region that received influence from three ancestral populations: native Amerindian, European (16th century settlers) and African (trafficked slaves), contributing to origin of the population of present times through admixture (Ribeiro, 1995; Parra et al., 2003).

However, genotyping of 128 AIMs would be costly and labor-intensive when analyzing a substantial number (usually more than 100 individuals) of cases and controls. Therefore, in trying to meet the necessity of ancestry identification encountered by our research group in the daily activity of simple association studies, we proposed a simple panel of AIMs, suitable for low-/medium-throughput laboratories with simple genotyping technologies and small financial resources.

Since Kosoy et al. (2009) claimed that the use of smaller (48 or 24 AIMs) subsets resulted in consistent estimates when compared to the larger datasets of the original 128 markers, we thus decided to examine the informativeness of these AIMs after further streamlining this panel, and using it to estimate the genetic ancestry and control for substructure in our admixed population during GAS.

MATERIAL AND METHODS

Ethics statement

The Research Ethics Committee of the Center of Health Sciences, Federal University of Pernambuco approved the study (protocol No. 257.941). Each subject gave written consent.

Ancestry informative marker subset selection, genotyping and sample data

Kosoy et al. (2009) selected 128 AIMs through the genome using allele frequency differences between populations (>45% difference between European-derived and African-derived populations and between European-derived and Amerindian-derived populations) and informativeness criteria (the ability to estimate the proportion of individual genetic ancestries) as elaborated by Rosenberg et al. (2003).

To streamline the number of AIMs, we selected the twelve most informative markers for substructure assignment of the three subpopulations (top 12) described by Kosoy et al. (2009). Briefly, the 12 AIMs were extracted from genotyping data of 643 subjects gathered by

the authors: 128 European Americans, 42 West African subjects, 105 Amerindians, 188 East Asian Americans, and 64 South Asian Americans. In addition, 60 subjects from European [Utah residents (CEPH) with Northern and Western European ancestry] and 56 from Yoruban (Ibadan, Nigeria) populations were included (The HapMap Consortium, 2003).

To test the feasibility of this streamlined AIM subset in admixed populations, we obtained the corresponding genotypes of both the 128 original and the 12 AIMs in an independent subset of unrelated individuals from populations with available data in 1000 Genomes database (Genomes Project Consortium et al., 2012).

This subset included 822 individuals from the following populations: Finland (N = 93), England and Scotland (N = 89), Spain (N = 14), Tuscany, Italy (N = 97), Yoruba from Ibadan, Nigeria (N = 85), Luhya from Webuye, Kenya (N = 96), African-American from Southwest US (N = 64), Colombia (N = 60), Mexico (N = 64), and Puerto Rico (N = 55). The 105 Amerindian subjects were from the original Kosoy et al. (2009) subset included in this procedure.

After *in silico* analysis using the independent subset described above, we genotyped the 12 AIMs in 192 samples of individuals from the metropolitan region of Recife. Each subject answered an epidemiological questionnaire, which required self-report in five ethnic categories as defined by the Instituto Brasileiro de Geografia e Estatística (IBGE - Brazilian Geography and Statistics Institute), the Brazilian census bureau, based on skin color. The five IBGE categories are: *branco* (white or Caucasian), *preto* (black), *pardo* (multiracial), *amarelo* (“yellow”, Asian descent) and *indígena* (Amerindian) (IBGE, 2010).

Table 1 summarizes information about the top 12 AIMs (marker ID, chromosome location and allele frequencies, including the Recife frequencies).

Table 1. The twelve most informative markers for assignment in three subpopulations (K = 3), TaqMan probe assays, chromosome, reference allele frequencies as presented in Kosoy et al. (2009) paper and reference allele frequencies in Recife sample.

Marker	TaqMan probe assay	Location	EUR frequencies	AFR frequencies	AMI frequencies	Recife frequencies
rs4908343	C 2494120_10	1p36.11	0.82	0.04	0.95	0.59
rs7554936	C 26139689_10	1q21.3	0.34	0.99	0.12	0.44
rs6548616	C 29071253_10	3p12.3	0.25	0.96	0.05	0.43
rs7657799	C 29422763_10	4q24	0.05	0.86	0.01	0.21
rs10007810	C 1386349_10	4p13	0.25	0.96	0.05	0.41
rs6451722	C 2938090_10	5p12	0.24	0.90	0.01	0.36
rs1040045	C 8767011_10	6p25.1	0.73	0.10	0.98	0.67
rs10108270	C 30263561_10	8p23.2	0.35	0.97	0.03	0.39
rs772262	C 8340116_10	12q13.2	0.06	0.87	0.63	0.33
rs9530435	C 27192660_10	13q22.2	0.79	0.07	0.95	0.69
rs11652805	C 31084340_10	17q24.1	0.14	0.98	0.13	0.35
rs4891825	C 27956007_10	18q22.2	0.89	0.09	0.90	0.68

EUR = European American populations; AFR = African populations; AMI = Amerindian populations.

Since the self-reported ethnicity was recorded (Table 2), it was possible to observe how the estimated genetic ancestry would relate to the arbitrary skin-color categories, an approach followed by previous studies (Smith et al., 2004; Lins et al., 2010), although using a different set of markers. The Mann-Whitney test was used to make comparisons between the genetic ancestry estimates between self-reported ethnicities.

Table 2. Demographic characteristics of subjects from Recife, including self-reported race according to the classification of Instituto Brasileiro de Geografia e Estatística (IBGE - Brazilian Geography and Statistics Institute), the Brazilian census bureau.

Characteristic	N = 192	%
Gender		
Female	128	66.7
Male	64	33.3
Self-reported race		
<i>Pardo</i> (multiracial)	93	48.4
<i>Branco</i> (White or Caucasian)	81	42.2
<i>Preto</i> (Black)	18	9.4

All SNPs were genotyped using TaqMan SNP Genotyping Assays on ABI 7500 real-time PCR platform, following manufacturer instructions (Life Technologies, USA).

Population substructure assignment and comparison between AIM sets

All population substructure analyses were performed with the STRUCTURE software version 2.3.4 (Pritchard et al., 2000; Falush et al., 2003, 2007; Hubisz et al., 2009), as recommended by Kosoy et al. (2009). For runs with the original 128 AIMs, 10,000 burn-in cycles and 50,000 replicates in the admixture model were used. For models using the 12 AIMs, 20,000 burn-in cycles and 100,000 replicates also in the admixture model were used instead. Thus, different α (Dirichlet parameter, which in this case represents the degree of admixture) were calculated for each estimated population. Apart from this, all remaining options were set to their default, and each run had three iterations. All runs were performed with two to four ancestry clusters (K).

For ancestry proportion estimation in the Recife sample, the European American, African, and Amerindian top 12 genotypes (thus excluding the EAS and SAS populations) were inputted together with the genotypes from the new samples to serve as references. This was done to help determine which cluster generated by the STRUCTURE software corresponds to each ancestry, i.e., European, African and Amerindian (K = 3) ancestries, respectively.

Ancestry cluster plots were performed through the Distruct software version 1.1 (Rosenberg, 2004). The mean estimates of the 1000 Genomes populations resulting from the three iterations with both the original 128 and the top 12 AIMs were compared using Pearson correlation. Additionally, Bland-Altman plots were produced to help visualize the degree of concordance of the correlations, an approach similar to that used by Aldrich et al. (2008).

RESULTS

When analyzing the data of the 1000 Genomes populations, the ancestry estimates obtained by the two AIM sets, i.e., the original 128 AIMs genotyped by Kosoy et al. (2009) and the top 12, were similar for all three ancestries. The high correlation indices showed that the estimates had a high degree of concordance (Figure 1). Bland-Altman plots showed in more detail the degree of concordance between these estimates (Figure 2). Since these results showed that both estimates were remarkably similar in a population dataset regardless of the subset which was used for AIMs selection, the top 12 were used as an approximation to the 128 AIMs to genotype the sample from Recife.

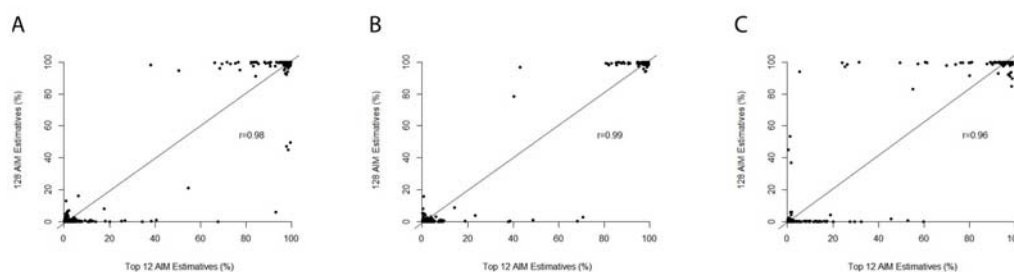


Figure 1. Correlation plots comparing estimates of 128 ancestry informative markers (AIMs) and a subset of its 12 most informative AIMs (top 12) in 822 ethnically diverse subjects derived from 1000 Genomes database. Comparison of **A.** European ancestry estimates, **B.** African ancestry estimates, and **C.** Amerindian ancestry estimates.

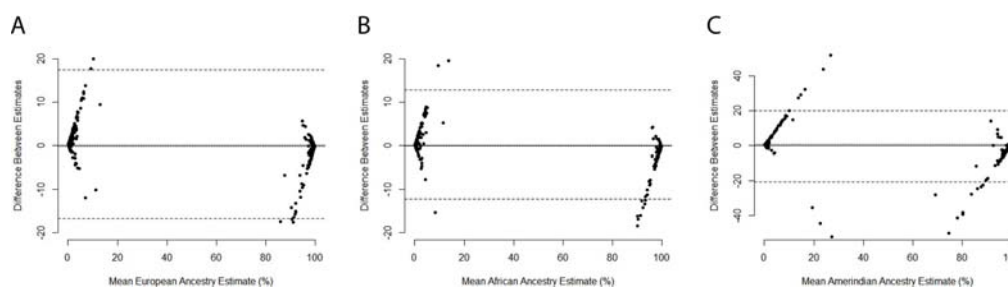


Figure 2. Bland-Altman plots showing the great concordance between estimates from the two ancestry informative marker subsets (128 and the 12 most informative markers) in 822 ethnically diverse subjects. **A.** European ancestry, **B.** African ancestry, and **C.** Amerindian ancestry comparisons. The solid line represents no difference between two estimates. The dashed lines represent 95% confidence intervals of the difference between estimates. Since the majority of data points are between these lines, it is demonstrated that the estimates did not differ significantly. The dotted line represents the mean estimates taking in consideration the two ancestry informative marker subsets (128 and the top 12).

All genotypes were in conformity with Hardy-Weinberg equilibrium. The output from the STRUCTURE software pointed out that $K = 3$ had the largest mean likelihood ($L = -6095.2$ against $K = 2$, $L = -6311.0$, and $K = 4$, $L = -6110.6$).

Considering individual Q (ancestry) estimates in the sample from Recife, Brazil, it was observed that all subjects had greater European influence when compared with African and Amerindian, without taking self-reported race into consideration (mean estimates: 59.7, 23.0 and 17.3%, respectively). However, there was considerable overlap of these estimates.

After stratifying according to self-reported ethnicity, subjects identified as black and *pardos* had marginally similar African proportions (27.9 vs 24.6%, Mann-Whitney U-test = 585, $P = 0.04$). Whites had more European ancestry proportion (61.9%) than did *pardos* (58.3%, U-test = 5237.5, $P < 0.01$) and blacks (56.6%, U-test = 1164, $P < 0.01$). Blacks and *pardos* had similar proportions of European ancestry (U-test = 998.5, $P = 0.20$).

Regarding Amerindian ancestry, *pardos* and whites had similar backgrounds (18.0 vs 17.1%, U-test = 4364, $P = 0.07$), with blacks having the lower proportion (15.5%) when compared with whites (U-test = 1086, $P = 0.001$) and *pardos* (U-test = 1107, $P = 0.03$). Table 3

summarizes these estimates and Figure 3 depicts the overall estimates of the reference populations (European American, African, and Amerindian) as well as Recife estimates.

Table 3. Comparisons between estimates of subjects from Recife (Northeast Brazil) according to self-reported races.

Self-reported race	European ancestry (%)		African ancestry (%)		Amerindian ancestry (%)	
	Mean \pm SD	Min.-Max.	Mean \pm SD	Min.-Max.	Mean \pm SD	Min.-Max.
White (N = 81)	61.9 \pm 5.5	41.9-68.4	20.1 \pm 7.1	10.8-47.7	18.0 \pm 3.0	10.4-24.6
Pardo* (N = 93)	58.3 \pm 5.5	42.2-68.5	24.6 \pm 7.1	11.7-46.7	17.1 \pm 3.0	10.6-25.8
Black (N = 18)	56.6 \pm 5.6	49.0-65.8	27.9 \pm 7.2	18.0-38.2	15.5 \pm 3.0	11.2-19.3
All (N = 192)	59.7 \pm 5.5	41.9-68.5	23.0 \pm 7.1	10.8-47.7	17.3 \pm 3.0	10.4-25.8

*Multiracial.

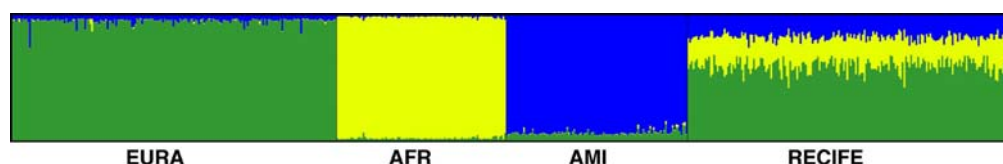


Figure 3. Plot of the overall top 12 estimates. The European American (EURA), African (AFR) and Amerindian (AMI) populations were included together with Recife samples to help ancestry assignment. The resulting Recife estimates demonstrate Brazilian populations admixture: a large contribution of European ancestry with less influence of African and Amerindian ancestries.

DISCUSSION

Population substructure and genetic ancestry is a fundamental issue to be considered when designing and developing GAS, because they could result in spurious association detection (Balding, 2006). The present study aimed to propose a streamlined panel of AIMs that could replace larger panels of markers, thus improving cost-benefit in the analysis of highly-admixed populations such as from Brazil, more specifically in Northeast Brazil, Recife city, Pernambuco State. Our results confirm the notion that the population from Recife had origin from three ethnically ancestral groups: Amerindians, Africans and Europeans (Alves-Silva et al., 2000).

Moreover, the analyses indicated that reduced numbers of markers were still capable of adequately estimating individual ancestry proportions. We compared the 12 most informative SNPs with the original 128, published elsewhere (Kosoy et al., 2009). There was remarkable concordance between the estimates, as revealed by Pearson correlation coefficients (Figure 1).

Currently, several genotyping methods are used to determine genetic ancestry. The most common are small insertion or deletion (indels) markers, which provide accurate information about ancestry since they are scattered throughout the genome (Mills et al., 2006). The standard methods of indel genotyping could be relatively costly and time-consuming, requiring expensive reagents for PCR, PCR product purification and special hardware, such as capillary gel electrophoresis instruments. Thus, some authors have reported ancestry estimation using SNP panels with real-time PCR platforms (Smith et al., 2004; Seldin and Price, 2008; Lins et al., 2010).

Estimates from Recife (Northeast Brazil) confirmed that Brazilian populations are admixed, since each individual had substantial genetic contribution from each subpopulation cluster. In summary, the European genomic contribution was most representative in our population, followed by the African and then the Amerindian contribution (average contributions of 59.7, 23.0 and 17.3% ancestry, respectively). This means that the Recife population has a low level of genetic substructure, since self-reported race, which is mainly based on skin-color, did not relate well to genetic proportions. Subjects identified as blacks, had even more European ancestry influence than African ancestry itself. Thus, skin-color does not correctly control for population substructure in admixed populations such as the Brazilian during GAS analysis.

These results confirm the observations of Pena et al. (2009), who also detected high European influence in the Brazilian genetic background. The authors also used samples from Pernambuco State. Our average European ancestry estimates in self-declared white subjects were somewhat lower than their own (61.9 vs 71.1%, respectively), and our African ancestry estimates were slightly higher (20.1 vs 14.2%, respectively).

Moreover, when comparing the estimates from white subjects from São Paulo State (Southeast Brazil) with white subjects from the Recife sample, it is evident how different regions of Brazil received different influences from the ancestral populations. Northeast Brazil received more influence from West African populations, whereas the Southeast received large numbers of European immigrants during the 19th century, a process that shaped these inter-regional differences in genetic background. The subjects from São Paulo had 77.9% European ancestry, 11.6% African and 10.5% Amerindian ancestry, whereas the subjects from Recife had 61.9, 20.1 and 18.0%, respectively (Pena et al., 2009). Similar results were obtained in another study by Lins et al. (2010), who used a set of 28 SNPs. In their sample from Northeast Brazil, the subjects had 77.4, 13.6 and 8.9% estimated ancestries.

These discrepancies may be related to differences in sample number and the markers employed to estimate the ancestries, since in one of them, a set of indel markers was used. Despite these differences, these studies and others performed in several Brazilian populations, e.g., Santos et al. (2010), show that, qualitatively, European ancestry makes up most of the genetic background of Brazilians, followed by minor contributions from African and Amerindian ancestral populations. Thus, we again highlight the importance of considering this admixture when performing GAS in Brazilian populations.

Keeping this in mind, we decided to further explore SNP usage for ancestry estimation in GAS, to obtain a streamlined panel and report a proof of concept that ancestry estimation could be simpler using a smaller number of markers than in previous studies.

We propose a rapid streamlined SNP panel based on 12 markers, spread through the genome, to be used for genetic ancestry estimation aimed at controlling the population substructure in GAS in Brazilian admixed populations. Our SNP panel, deriving from our daily experience in small-scale association studies with relatively small financial resources, has been specifically tailored for laboratories with low-/medium-throughput genotyping instrumentation and needs.

ACKNOWLEDGMENTS

Research supported by Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES/PNPD program), Fundação de Amparo à Pesquisa do Estado de Pernambuco (APQ-1530-2.02/12), Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq), and

IRCCS Burlo Garofolo (RC06/11). R.R. Moura is the recipient of a postgraduation fellowship from Fundação de Amparo à Pesquisa do Estado de Pernambuco (FACEPE, IBPG #0926-2.02/11).

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**APÊNDICE C - ON THE USE OF CHINESE POPULATION AS A PROXY OF
AMERINDIAN ANCESTORS IN GENETIC ADMIXTURE STUDIES WITH LATIN
AMERICAN POPULATIONS**

LETTER

On the use of Chinese population as a proxy of Amerindian ancestors in genetic admixture studies with Latin American populations

European Journal of Human Genetics advance online publication, 2 September 2015; doi:10.1038/ejhg.2015.184

Dear Editor,

We read the recent article of Magalhães da Silva *et al*¹ reporting the correlation between biogeographic ancestries, estimated using 30 ancestry informative markers (AIMs), and self-reported skin color in two different Brazilian Northeastern populations (Fortaleza and Salvador, capitals of the states of Ceará and Bahia, respectively). The authors observed that African ancestry is more correlated in the sample from Salvador than in the one from Fortaleza and that the use of different African populations as proxies of the Brazilian's African ancestors may influence the results.

One unusual point of this study was taking Han Chinese from Beijing (CHB) as pseudo-ancestors for Amerindians as there is no Native American population included in the HapMap Project (<http://hapmap.ncbi.nlm.nih.gov/>) and, in addition, because CHB population has been shown to have allele frequencies similar to those of Native Amerindians.¹

It is well known that most Latin American countries are inhabited by tri-hybrid populations derived from African, Amerindian and European roots, in which their proportions show considerable variability.² As the vast majority of published studies aimed at inferring the admixture proportions of Latin American populations have not used CHB population as a proxy for Amerindian ancestors, we compared the admixture inference of some Latin American populations using Chinese or Native American ancestors as proxies.

In order to estimate admixture proportions, we used the following Latin American populations from 1000 Genomes Project Phase III³: Colombians from Medellin, Colombia (CLM); Mexican Ancestry from Los Angeles, USA (MXL); Peruvians from Lima, Peru (PEL); and Puerto Ricans from Puerto Rico (PUR). Besides the CHB population, we also used as proxies for African and European ancestors the Utah Residents with Northern and Western European ancestry (CEU) and Yoruba in Ibadan, Nigeria (YRI), respectively, also obtained from 1000 Genomes Project Phase III database. For Amerindian ancestors, a combination of Mayans, Quechuans and Nahua Natives (we call this group AMI) were used as pseudo-ancestors for Indigenous Americans as described by Kosoy *et al*.⁴

One hundred and twenty-seven SNPs were used as AIMs from a set of 128 SNPs validated by Kosoy *et al*⁴ (the rs10954737 SNP is not

present in the 1000 Genomes database). After merging 1000 Genomes with AMI data using PLINK version 1.90.5, we employed STRUcTURE software v. 2.3.4 (Pritchard *et al* 2000) running into ParallelStrucTURE R package.^{7,8} The parameters applied were 10 independent runs with 100 000 burn-in steps and 100 000 Markov chain Monte Carlo replicates assuming three ancestral populations ($K=3$) in admixture model, allele frequencies correlated and the parameter USEPO-PINFO = 1. CLUMPAK Server allowed us to generate bar plots, referring to individual and population ancestry proportions.⁹ We made two separated analyses: one using CEU, YRI and AMI as pseudoancestors and another using CEU, YRI and CHB.

The bar plot with the admixture estimate is shown in Figure 1 and the ancestry proportions for the CLM, MXL, PEL and PUR populations are displayed in Table 1. It is possible to observe a tendency towards increasing of Amerindian ancestry and a decreasing of European ancestry when the CHB population was used as proxy for Native American ancestors.

In general, the results obtained using AMI pseudo-ancestors are more similar to those found in the literature, also when using AMI pseudo-ancestors in studies concerning populations from the same city such as CLM, MXL, PEL and PUR (Table 1). The results published by Magalhães da Silva *et al*¹ predicted 54.7, 12.3 and 33% for EUR, AFR and CHB contributions, respectively, in a self-declared 'white' individuals from Fortaleza. Whereas in a study of Pena *et al*,¹⁰ using 40 validated AIMs, the authors found 75.8, 13.3 and 10.9% in a group of 'white' individuals from the same city. The same tendency for increased AMR contribution, using CHB as pseudo-ancestors, occurred when analyzing 'brown' individuals. Curiously, this tendency was not confirmed when the results of the population from Salvador are compared with the findings from the study of Pena *et al*.¹⁰

We also evaluated whether the allele frequency of ancestry markers were different between AMI and CHB populations: in our set of 127 AIMs, 100 showed significant differences between allele frequencies (P -value from χ^2 test ≤ 0.05). Moreover, we observed a weak correlation between the allele frequencies of AMI and CHB populations ($r=0.33$). Finally, average pairwise F_{ST} values were higher when comparing AMI with CEU or YRI (0.30 and 0.35, respectively) than when comparing CHB with CEU and YRI (0.16 and 0.25, in that order). As higher divergence corresponds to better Informativeness,¹¹ we could hypothesize that the use of AMI individuals as pseudo-ancestors of Native Americans should be preferred to that of CHB individuals.

In light of this, we believe that the results of Magalhães da Silva *et al*¹ may be biased owing to the use of CHB population instead AMI as reference samples of Amerindian ancestors. Some genotyping data from AMI populations such as those from Kosoy *et al*⁴ are publically available as well as those from Human Genetic Diversity Project (<http://www.hagsc.org/hgdp/files.html>) and may serve as source of AIMs where a subset of the panel found by Galanter *et al*¹² can be downloaded.

In future studies dealing with Latin American tri-hybrid admixtures, our suggestion would be to consider genotyping data from AMI populations as first choice, eventually comparing the results with those obtained by using CHB as proxy as carried out by Magalhães da Silva *et al*.¹ Their comparison will allow a definitive choice based on the better representatives of Amerindian ancestry.

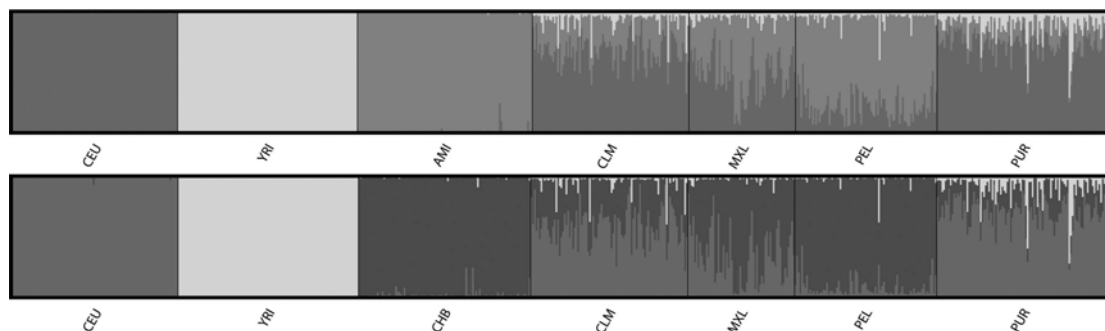


Figure 1 Bar plot with the admixture estimate of Latin American populations using AMI (top) and CHB (bottom) populations as proxies for the Amerindian ancestors. CEU=Utah Residents with Northern and Western European ancestry; YRI=Yoruba in Ibadan, Nigeria; AMI=combination of Mayans, Quechuans Amerindians and Nahua Native Americans; CHB=Han Chinese from Beijing; CLM=Colombians from Medellin, Colombia; MXL=Mexican Ancestry from Los Angeles, USA; PEL=Peruvians from Lima, Peru; PUR=Puerto Ricans from Puerto Rico.

Table 1 Proportions of European (EUR), African (AFR) and Native American (AMR) ancestors in Latin American populations

Population	Using AMI			Using CHB			Literature data			Authors
	EUR	AFR	AMR	EUR	AFR	AMR	EUR	AFR	AMR	
CLM	0.6770	0.0610	0.2620	0.5904	0.0390	0.3706	0.6000	0.1200	0.2800	Wang et al ¹³
MXL	0.4769	0.0384	0.4848	0.3361	0.0150	0.6489	0.4580	0.1100	0.4290	Qu et al ¹⁴
PEL	0.2020	0.0342	0.7638	0.0705	0.0138	0.9157	0.1400	0.0200	0.8400	Sandoval et al ¹⁵
PUR	0.7422	0.1127	0.1450	0.7006	0.0890	0.2104	0.6400	0.2100	0.1500	Via et al ¹⁶

Abbreviations: CLM=Colombians from Medellin, Colombia; MXL=Mexican Ancestry from Los Angeles, USA; PEL=Peruvians from Lima, Peru; PUR=Puerto Ricans from Puerto Rico. The results using Amerindian (AMI) and Chinese individuals (CHB) are shown. Some findings retrieved from literature for similar populations are also displayed.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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**APÊNDICE D - ANTIRETROVIRAL THERAPY IMMUNOLOGIC NON-RESPONSE
IN A BRAZILIAN POPULATION: ASSOCIATION STUDY USING PHARMACO-
AND IMMUNOGENETIC MARKERS**

Antiretroviral Therapy Immunologic Non-response in a Northeast Brazil Population: Association Study using Pharmaco- and Immunogenetic Markers

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Running head: ART Non-response in a NE Brazil Population: Genetic Association Study

Abstract

Background: Antiretroviral therapy (ART) saved millions of lives from HIV-1 infection and AIDS, but some patients do not experience adequate CD4⁺ T cells gains even though viral suppression is achieved, phenomenon known as immunological non-response, which predisposes to non-AIDS systemic diseases. The genetic component of this condition is not yet completely elucidated. Thus, we aimed to discover predictive genetic markers of immune response delay through a case-control study. **Methods:** We recruited 135 patients from Recife, Northeast Brazil, among which 82 were non-responders, and genotyped a set of 94 selected single nucleotide polymorphisms (SNPs). Among those SNPs, 46 were located in genes involved in antiretroviral drugs pharmacodynamic pathways and immune system homeostasis, while the remaining 48 were ancestry informative markers (AIMs) for genetic ancestry estimation and controlling for eventual hidden population structure. **Results:** Male patients were overrepresented in non-responder group ($p=0.01$) and tended to have slower immune recovery than females, without reaching statistical significance ($p=0.47$). Non-responders also started with lower absolute CD4⁺ T cell counts ($p<0.001$). We found five SNPs significantly associated with the outcome, being three more frequent in non-responders than responders: rs3003596 (*NR1I3*) G allele ($p=0.01$); rs2243250 (*IL4*) A allele ($p=0.02$) and rs129081 (*ABCC1*) G allele ($p=0.002$), whereas the other two were less frequent in non-responders: rs2069762 (*IL2*) C allele ($p=0.03$) and rs10519613 (*IL15*) A allele ($p=0.03$). Patients had similar ancestry backgrounds. **Conclusions:** All significant associations were lost during multivariate survival analysis modeling. Therefore, more studies are needed to unravel the genetic basis of ART immunological non-response.

Keywords: HIV-1; pharmacodynamics; immunogenetics; genetic association study; survival analysis

1 Introduction

The introduction of antiretroviral therapy (ART) in the clinical practice saved millions of lives from acquired immunodeficiency syndrome (AIDS) related deaths, which is the result of chronic infection by the human immunodeficiency virus type 1 (HIV-1) (De Cock et al. 2011; World Health Organization 2015). Current ART regimens are combinations of three drugs. The first-line regimens usually include two nucleoside analog reverse transcriptase inhibitors (NRTIs) and a non-nucleoside reverse transcriptase inhibitor (NNRTI) or a protease inhibitor (PI), and their objective is to suppress viral replication (Vella et al. 2012).

With viral suppression, reestablishment of lost CD4⁺ T cells typically happens in a biphasic manner: a rapid proliferation during the first three to six months of ART, caused by memory T cells redistribution, followed by a slower proliferation phase conducted by naïve T cells production by the thymus (Li et al. 2011). However, some patients do not present optimal CD4⁺ T cells gains, even with persistent viral suppression. This phenomenon is named immunological failure or immunological non-response, and it is associated with higher risk of non-AIDS cardiovascular, liver, kidney disorders and early ageing (Teixeira et al. 2001; Tan et al. 2008; Pathai et al. 2014; Torres and Lewis 2014).

The immunological non-response has not yet been completely elucidated. Older age, male sex, advanced HIV-1 infection at treatment start and coinfections with other viruses are some known risk factors (Marziali et al. 2006; Li et al. 2011; Doitsh et al. 2014), but the influence of host genetic component is still debated. Promising genetic candidates include genes involved in antiretroviral drugs pharmacodynamic pathways (Telenti and Zanger 2008; Tozzi 2009) and genes involved in immune functions (inflammation, apoptosis) and homeostasis, such as the interleukins IL2, IL7 and IL15, which coordinate T cell proliferation (Levy 2006).

Our hypothesis was that genetic variation in these genes would affect the distribution of antiretroviral drugs, possibly favoring (undetected) residual virus replication, which in turn would drive immune activation and immune cell death, hampering CD4⁺ T cell recovery. Simultaneously, the SNPs in the immune system genes would favor this increased cell activation, increased apoptosis or decreased cell proliferation, leading to the suboptimal immunological response to ART. So, we aimed at identifying genetic variants associated with delay to proper CD4⁺ T cell counts recovery to quasi-normal or normal levels.

2 Material and methods

2.1 Subjects

We recruited 135 individuals (65 females, 48.2% and 70 males, 51.8%), coming from Recife and nearby cities, Pernambuco state, Northeast Brazil, living with HIV-1 attending healthcare at Instituto de Medicina Integral Professor Fernando Figueira (IMIP) for a case-control, observational study between 2011 and 2015.

Inclusion criteria were: age over than 18 years old, not reporting illicit drug use, and not being pregnant. Informed consent was obtained from all individual participants included in the study. Each patient then provided a peripheral blood sample after giving consent for participation in the research and authorization for review of their medical charts. IMIP Research Ethics Committee approved the study design (protocol number 3629-13).

Each patient answered a standard questionnaire about sex, age and age at ART start date, socioeconomic status, smoking and drinking habits. The data extracted from medical charts covered the whole period between the first and last plasma viral load (pVL) and CD4+ T cell absolute counts and percentage (relative to all white blood cells) measurements (retrospective follow-up).

Other extracted data included ART regimens received and their refill prescriptions and serological status for the following etiologic agents: hepatitis B and C viruses (HBV and HCV), cytomegalovirus (CMV, immunoglobulin G and M, IgG and IgM tests), human T-lymphotropic virus types 1 or 2 (HTLV-1/2), *Toxoplasma gondii* (toxoplasmosis agent, IgG and IgM tests) and *Treponema pallidum* (syphilis agent, VDRL test) and eventual AIDS-defining conditions following Center for Disease Control (CDC) 1993 Revised Classification System (CDC 1992). Table 1 displays patients' characteristics.

2.2 Patient classification

All patients achieved persistent viral suppression, which was defined as maintaining undetectable plasma viral load measurements (pVL<50 copies/mL) without viral load rebound, which was defined as two consecutive pVL>200 copies/mL at any time after initial suppression. In our setting, pVL measurements, CD4+ T cell counts and other laboratory tests are generally performed every three or four months, at the physician's discretion.

Immunologic response was defined as CD4+ T cell percentages achieving 30% or higher for two consecutive measurements during follow-up were classified as having immunological response, and immunological non-response if otherwise, following Brazilian Ministry of Health's guidelines (Brasil 2015). If a patient already had pre-ART CD4+ T cell percentages >30% (early start patients, for example), we instead considered immunological response as an absolute gain of 200 cells/ μ L, following a previous study (Li et al. 2011). We preferred CD4+ T cell percentages instead of absolute counts because percentages are less variable over time (Hulgan et al. 2005).

Thus, 82 patients were stratified into immunological non-response and 53 into immunological response groups and their follow-up duration was recorded for further survival analysis.

2.3 SNPs selection and genotyping

We selected genes and single nucleotide polymorphisms (SNPs) through literature search and functional criteria. We selected 46 SNPs distributed in 19 genes of antiretroviral pharmacodynamic pathways: *ABCB1* (rs1128503, rs2214102, rs2235048 and rs3842), *ABCC1* (rs129081, rs113264879, rs4148380, rs8056298, rs212091 and rs16967632), *ABCG2* (rs115770495, rs1448784 and rs2231142), *CYP1A2* (rs762551), *CYP2A6* (rs8192726), *CYP2B6* (rs8192709, rs28399499, rs34097093, rs28399502, rs707265 and rs1042389), *CYP3A4* (rs4646437), *SLC22A6* (rs11568629, rs11568628 and rs4149170) and *NR1I3* (rs3003596) (Fellay et al. 2002; Brumme et al. 2003; Cressey and Lallemand 2007; Gatanaga et al. 2007; Ingelman-Sundberg et al. 2007; Jung et al. 2008; Haas et al. 2009; Franke et al. 2010; Swart et al. 2012) and immunological activation and homeostasis: *CCL5* (rs2107538), *FAS* (rs2234767 and rs1800682), *IFNG* (rs2069709), *IL10* (rs2222202, rs1800871 and rs1800890), *IL10RA* (rs3135932 and rs9610), *IL15* (rs10519613 and rs10833), *IL1B* (rs16944), *IL2* (rs2069762), *IL4* (rs2243250), *IL7R* (rs1494555, rs11567762, rs6897932, rs3822731, rs987106 and rs3194051) (Nasi et al. 2005; Smith and Humphries 2009; Chew et al. 2011).

Additionally, 48 SNPs that served as ancestry informative markers (AIMs) were also genotyped (all variants are listed on Supplementary Table 1). Briefly, these SNPs help estimating ancestry proportions in admixed populations such as the one enrolled in our study, controlling for population structure and reducing bias during genetic association analysis (Coelho et al. 2015). Genomic DNA was extracted through Promega® Wizard Genomic DNA Purification Kit (Fitchburg, Wisconsin, USA), following manufacturer

instructions. Genotyping was performed through VeraCode® platform of GoldenGate® Illumina Inc (San Diego, California, USA) technology, following the manufacturer's instructions.

Raw genotyping data were extracted with Illumina® Genome Studio 2.0 software and imported in an Excel® worksheet. After processing the dataset, we imported it into PLINK software, version 1.90 (Chang et al. 2015) to perform quality control (QC) filtering. Samples and variants with less than 90% global call rates were removed from analysis. Variants with significant departure from Hardy-Weinberg equilibrium were also removed, using an exact test $p\text{-value} < 0.001$ as threshold.

2.4 Ancestry proportion estimation

We used ADMIXTURE software (Alexander et al. 2009) to carry out a “supervised analysis” allowing estimation of ancestry proportions in our admixed samples, using 2000 bootstrap steps with the AIMs panel mentioned above. The calculations were made assuming three different ancestral populations ($K=3$; African, Amerindian and European).

2.5 Statistical analysis

Comparisons between immune non-response and immune response groups were performed through Fisher exact test or Chi-squared test for categorical variables (sex, ART regimens, genetic association tests) and Mann-Whitney test for numerical variables (age and pre-ART CD4+ T cell absolute counts). Age at ART start date was also treated as a categorical variable with four strata: *18 to 29 years*, *29 to 39 years*, *39 to 49 years* and *49 years or more*.

Additionally, univariate survival analyses were performed through Kaplan-Meier survival probability estimator. Estimators for each variable were then compared through Cox-Mantel log rank tests to assess if they exerted statistically significant influence on time to immune response.

Allele and genotypes counts and frequencies were obtained through direct counting. Compliance to Hardy-Weinberg equilibrium was also assessed through Chi-squared test. All tests were two-sided. Any variable with statistically significant association with immunologic outcome were included in a multivariate survival analysis Cox proportional hazards model along with individual African ancestry proportion (estimated by AIMs) to assess if any of these variables were associated with delayed time to immune response

(CD4+ T cell percentage reaching 30% or higher during treatment follow-up). All analyses were performed with R software, version 3.3.1 (R Core Team 2016).

Coinfections serological status, smoking and drinking habits (data not shown) and AIDS-defining conditions were not included in further analyses due to high prevalence of missing data (over 10% of data points) in the final dataset, in order to avoid the introduction of bias into the model. Table 1 also displays univariate comparison of patients' characteristics, their respective p-values, odds ratios (OR) and 95% confidence intervals (95% CI) whenever applicable.

3 Results

3.1 Univariate analysis: patients' data

We observed that males had more than two times increased risk of presenting immunological non-response (males were 61% of non-responders and 37.7% of responders; OR=2.56; 95% CI=1.20-5.60; $p=0.01$). Both groups had similar ages at treatment start (median 34.5 years for non-responders and 33 years for immunologic responders; $p=0.11$). As expected, non-responders started treatment with less absolute CD4+ T cell counts than responders (median 187.5 cells/ μ L versus 375.5 cells/ μ L, respectively, $p<0.001$), and the majority of non-responders started therapy with less than 200 cells/ μ L (48.8% versus 9.4%; $p<0.001$). Patients in both groups had similar ancestry backgrounds (mean African ancestry proportion 30.2% in non-responders versus 31.3% in responders, $p=0.55$).

Non-responders started treatment with more advanced disease than responders; 10.9% and 29.3% of non-responders presented B (symptomatic conditions) and C (AIDS-indicator conditions) CDC system stages, respectively, versus 1.9% and 13.2% of responders, although the difference did not reach statistical significance ($p=0.11$).

Patients started with similar first-line ART regimens ($p=0.47$), with the majority (68.9%) using zidovudine as the nucleoside analog reverse transcriptase inhibitor (NRTI) alongside lamivudine, and non-nucleoside reverse transcriptase inhibitors (NNRTIs), mostly efavirenz, instead of ritonavir-“boosted” protease inhibitors (PI/r) as the third option drug (58.5%). Table 1 also details each statistical comparison between the groups.

Most patients have no available serological tests results recorded in their medical charts, but it is reasonable to say that CMV and toxoplasmosis past/latent infections were somewhat prevalent (32.6% and 25.2%, respectively positive IgG/negative IgM tests). VDRL-positive tests were 14.2% of the total. Some patients were immune to HBV due to

vaccination (21.5%), a minority of them due to natural infection (6.7%) and others were susceptible (28.1%), but none presented chronic infection. We did not observe positive HCV and HTLV-1/2 serological tests within the available data. Table 2 details the numbers of reported serological tests results.

The median follow-up period extracted from medical charts was 33 months of treatment and laboratory tests history (interquartile range IQR=18-66,8; with a minimum of two months and maximum of 203 months).

The median time to achieve immunologic response was 91 months (95% CI=50-127). Men took longer to achieve immunologic response than women, needing a (median) time of 110 months (95% CI=42-127), while women needed 75 months (95% CI=42-upper bound not calculated), although it did not reach statistical significance (Cox-Mantel log rank $X^2=0.50$ on 1 degree of freedom; $p=0.47$). Age groups at ART start date also did not influence time until immunologic response (Cox-Mantel log rank $X^2=1.6$ on 3 degrees of freedom; $p=0.67$). Similarly, use of AZT instead of TDF (Cox-Mantel log rank $X^2=1.7$ on 1 degree of freedom; $p=0.19$) or PIs instead of NNRTIs as third option (Cox-Mantel log rank $X^2=0.5$ on 1 degree of freedom; $p=0.49$) on ART regimens also did not influence time until response.

3.2 Genotyping quality control (QC), ancestry estimation and genetic association testing

Four candidate SNPs, rs16944 (*IL1B*), rs10833 (*IL15*) rs11568629 (*SLC22A6*) and rs16967632 (*ABCC1*) and six AIMs did not pass genotyping QC and were removed from analysis. Other variant, rs34097093 (*CYP2B6*), was also removed because all individuals in the sample had the same genotype (one of the alleles was fixed). The remaining allele and genotype frequencies were all in conformity to Hardy-Weinberg equilibrium according to PLINK software exact test. All frequencies and global call rates are displayed in Supplementary Table 2. Therefore, further analyses were performed using the remaining 41 candidate SNPs and 42 AIMs.

Five SNPs presented statistic association with immunological outcome. Three minor alleles were more frequent in non-responders than responders: rs3003596 (*NR1I3*) G allele (48.2% vs. 35.6%, $p=0.01$); rs2243250 (*IL4*) A allele (42.6% vs. 32.7%, $p=0.02$) and rs129081 (*ABCC1*) G allele (47.6% vs. 37.5%, $p=0.02$), whereas the other two gave inverse results: rs2069762 (*IL2*) C allele (20.1% vs. 30.2%; $p=0.03$) and rs10519613 (*IL15*) A allele (10.5% vs. 19.8%; $p=0.03$). Allele, genotype frequencies and statistical

analyses for these five SNPs are displayed in Table 3 and Supplementary Table 3 displays all genetic association results.

The individuals in our sample presented a major European ancestry contribution (mean proportion $55.1\% \pm 18.2$), followed by the African ($32.5\% \pm 16.1$) and a minor Amerindian one ($12.4\% \pm 9.8$), as expected, due to our previous works with other samples coming from the same general population (Coelho et al. 2015). Since non-responders and responders had similar ancestry proportions (for example, mean African contribution $30.2\% \pm 15.9$ vs. $31.3\% \pm 13.8$, respectively; $p=0.55$), we believe that there is no hidden genetic structure biasing our genetic association analysis. As mentioned before, individual African genetic ancestry contributions were included in the multivariate Cox proportional hazards model for an additional “genomic control” (Balding 2006); however, all associations were lost (data not shown).

4 Discussion

ART, when followed correctly with good adherence, suppresses HIV-1 replication, decrease immune activation, favors immune recovery and protects against opportunist infections (Bartlett et al. 2001; Hutchinson 2001). However, some patients do not recover CD4+ T cell numbers to normal or quasi-normal levels (immunological failure or non-response), being at risk for non-AIDS diseases, such as cardiovascular, kidney and liver disorders (Li et al. 2011) and early ageing (Pathai et al. 2014; Torres and Lewis 2014). Thus, we performed a genetic association study through survival analysis to assess if polymorphisms in antiretroviral drugs pharmacodynamic pathways and immune system homeostasis were related with immunological failure in a sample from Recife, Northeast Brazil.

We found a high prevalence of immunological non-response (60.7%), which was higher than some estimates found in the literature, ranging between 10% and 40% (Peraire et al. 2014). However, it is important to notice that, since we focused our analysis exclusively on individuals with viral suppression to assure that viral replication is not the responsible for impaired CD4+ T cell numbers expansion, this estimate could be inflated simply due to sample stratification.

Our sample is generally comprised of people with lower socioeconomic status with less access to sexual education and healthcare. Thus, they usually receive late or very late HIV-1 infection diagnosis and, therefore, tend to start ART with low absolute CD4+ T cells counts, advanced disease and presenting opportunistic infections symptoms. A very

compromised immune system by the chronic HIV-1 infection is a predisposition factor to immunologic non-response (Gaardbo et al. 2012), and we indeed observed that non-responders begun treatment with lower absolute CD4+ T cell counts, with almost half of them starting treatment with less than 200 cells/ μ L.

Previous reviews also reported that male sex, older age (Peraire et al. 2014) and HCV coinfection are risk factors for immune non-response (Miller et al. 2005; Brites-Alves et al. 2015). We only found an association between male sex and immune failure during univariate analysis, but this association was lost during multivariate survival analysis. We also assessed HCV infection status alongside other agents (CMV, HBV, HTLV-1/2, toxoplasmosis and syphilis), but we could reach no conclusion due to the high prevalence of untested subjects for most of these infections, which hindered further analysis, since it could bias the results with sample size restriction. However, we believe that HCV and HTLV-1/2 had very low prevalence in our sample (no positive tests among those available), and therefore they are unlikely to be playing a role on immunological outcome of our sample, at least. We also did not observe any chronic HBV-infected subjects in our sample, but we detected some individuals with anti-HBV immunity due to past infections. We also found some individuals with latent CMV infection (positive IgG tests), a known causative agent of persistent immune activation (Sylwester et al. 2005), which can cause immune system exhaustion (Khaitan and Unutmaz 2011), but we cannot affirm if it was a factor favoring immune non-response in our sample, as it would be too speculative, since our serological data status is mostly lacking, as discussed above.

Sex and pre-ART CD4+ T cell counts were the only non-genetic differences between our study groups, since the individuals in our sample had similar ethnic backgrounds (as estimated by AIMs), and ART regimens types and distribution were alike between groups. Therefore, we expected to find genetic risk factors to immunologic non-response. We genotyped 46 candidate SNPs located in genes involved on antiretroviral drugs metabolism and transport and in genes involved on immune response. We found five SNPs associated - three with susceptibility to non-response while the remaining two with favorable response.

Two of the three SNPs associated with non-response are located in genes related to drug metabolism: *NR1I3* and *ABCC1*. The former is a nuclear receptor that senses foreign substances (such as antiretroviral drugs) and upregulates expression of other proteins that metabolize these substances aiming their excretion (Wada et al.) and the latter is a membrane active transport protein that ejects antiretroviral drugs from cells (Kis

et al. 2010). The third is located at *IL4* gene, which is involved in a polyfunctional immunoregulatory signal (Paul 2015). The two SNPs associated with favorable response are also located at genes related to immune system homeostasis, important for T and B cells proliferation, *IL2* (Liao et al. 2011), and T cell activation, *IL15* (Mishra et al. 2014)

We expected that SNPs in these genes would work in concert to affect gene function, altering distribution of antiretroviral drugs, and having deleterious consequences on immune function, leading to the suboptimal immunological response to ART. However, all genetic associations were lost after multivariate survival analysis modeling. As other authors did not find associations focusing on the same or similar genes (Fernandez et al. 2006) while others did (Haas et al. 2006) (for a review of previous genetic association studies, refer to (Peraire et al. 2014)), more studies are necessary to unravel the genetic component of ART immunological non-response.

5 Conclusion

We performed a genetic association study looking for genetic variants that would explain suboptimal gains CD4⁺ T cell counts in some individuals of a retrospective observational sample of individuals living with HIV-1 receiving ART from Northeast Brazil, but did not find any statistically significant association. Thus, more studies are necessary, so we could develop a personalized, predictive model of immunological non-response, consequently improving HIV-1 infection care, and perhaps preventing complications that came from immunological non-response, such as systemic diseases and/or early ageing.

Disclosure statement

The authors declare that they have no conflict of interest.

Acknowledgements

This work was supported by APQ-0568-2.02/10 and APQ-0599-2.02/14 grants from Fundação de Amparo à Ciência e Tecnologia de Pernambuco (FACEPE), 442225/2014-8 grant by Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq) and BEX-7715/15-3; BEX-7711/15-8; BEX-7714/15-7 scholarships from Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES). The authors thank the IRCCS Burlo Garofolo (Trieste, Italy) for Illumina VeraCode® analysis financial support.

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Table 1. General characteristics of the recruited individuals living with HIV-1 and stratification according to antiretroviral therapy immunologic response.

Variables	Total (n=135)	Immunologic non-responders (n=82)	Immunologic responders (n=53)	Univariate analysis
Sex, n (%)				
Females	65 (48.2)	32 (39.0)	33 (62.3)	reference OR=2.56 (95% CI=1.20-5.60); p=0.01
Males	70 (51.8)	50 (61.0)	20 (37.7)	
Age at ART start date				
Median (IQR) [min.-max.]	33 (29-39) [21-54]	34.5 (29-40) [21-54]	33 (26-37) [21-53]	W=1813.5; p=0.11
Pre-ART CD4+ T cell counts, cells/μL of peripheral whole blood				
Median (IQR) [min.-max.]	33 (29-39) [21-54]	187.5 (86.8-281.5) [13-543]	375.5 (274.2-525.8) [48-1029]	W=669.5; p<0.001
<i>By categories, n (%)</i>				
Not available	39 (28.9)	8 (9.8)	7 (13.2)	(not included in the comparison)
Less than 200	65 (48.2)	40 (48.8)	5 (9.4)	
Between 200 and 350	25 (18.5)	23 (28.0)	15 (28.4)	X²=34.9; df=3; p<0.001
Between 350 and 500	6 (4.4)	10 (12.2)	13 (24.5)	
Over 500	39 (28.9)	1 (1.2)	13 (24.5)	
Ancestry proportions estimated by AIMS, mean percentage (SD) [min.-max.]				
African	32.5 (16.1) [0.0-75.3]	30.2 (15.9) [0.0-70.2]	31.3 (13.8) [4.4-63.3]	W=1912; p=0.55
Amerindian	12.4 (9.8) [0.0-52.7]	13.2 (10.7) [0.0-52.7]	9.0 (9.2) [0.0-34.1]	
European	55.1 (18.2) [11.8-99.9]	56.2 (18.5) [11.8-99.9]	59.6 (15.7) [28.2-91.2]	
AIDS-defining conditions, CDC classification system				
Not available	93 (68.9)	49 (59.8)	44 (83.0)	(not included in the comparison)
A stage	1 (0.7)	0 (0.0)	1 (1.9)	X²=4.5; df=2; p=0.11
B stage	10 (7.4)	9 (10.9)	1 (1.9)	
C stage	31 (23.0)	24 (29.3)	7 (13.2)	
First ART regimens				
Not available	9 (6.7)	5 (6.1)	4 (7.5)	(not included in the comparison)
Monotherapy	3 (2.2)	1 (1.2)	2 (3.8)	
<i>Detailed regimens: [NRTI]+3TC [third option]</i>				
ABC+3TC EFZ	1 (0.7)	1 (1.2)	0 (0.0)	X²=6.6; df=7; p=0.47
AZT+3TC ATV/r	4 (2.9)	3 (3.7)	1 (1.9)	
AZT+3TC EFZ	48 (35.7)	29 (35.4)	19 (35.8)	
AZT+3TC FPV/r	1 (0.7)	1 (1.2)	0 (0.0)	
AZT+3TC IDV/r	0 (0.0)	0 (0.0)	0 (0.0)	
AZT+3TC LPV/r	36 (26.7)	19 (23.2)	17 (32.1)	
AZT+3TC NVP	4 (2.9)	2 (2.4)	2 (3.8)	
TDF+3TC ATV/r	3 (2.2)	1 (1.2)	2 (3.8)	
TDF+3TC EFZ	26 (19.3)	20 (24.4)	6 (11.3)	
TDF+3TC LPV/r	0 (0.0)	0 (0.0)	0 (0.0)	
<i>ART regimens, stratified by [third option]</i>				
NNRTI	79 (58.5)	52 (63.4)	27 (50.9)	reference OR=0.63 (95% CI=0.28-1.42); p=0.25
PI/r	44 (32.6)	24 (29.3)	20 (37.7)	
<i>[NRTI] choice alongside 3TC</i>				
ABC	1 (0.7)	1 (1.2)	0 (0.0)	(not included in the comparison)
AZT	93 (68.9)	54 (65.9)	39 (73.6)	reference
TDF	29 (21.5)	21 (25.6)	8 (15.1)	OR=1.89 (95% CI =0.71-5.46); p=0.19

3TC – lamivudine, 95% CI – 95% confidence interval, ABC – abacavir, AIDS – acquired immunodeficiency syndrome, ART – antiretroviral therapy, ATV/r – ritonavir-boosted atazanavir, CDC – Center for Disease Control (USA), df – degrees of freedom, EFZ – efavirenz, FPV/r – ritonavir-boosted fosamprenavir, IDV/r – ritonavir-boosted indinavir, IQR – interquartile range, LPV/r – ritonavir-boosted lopinavir, min.-max. – minimum and maximum values, NNRTI – non-nucleoside analog reverse transcriptase inhibitor, NRTI – nucleoside analog reverse transcriptase inhibitor, NVP – nevirapine, OR – odds ratio, p – p-value, PI – protease inhibitor, SD – standard deviation, TDF – tenofovir, W – Mann-Whitney test statistic, X² – chi-squared test statistic.

Table 2. Coinfections serological status of the recruited patients living with HIV-1 stratification according to antiretroviral therapy immunologic response.

Etiologic agent	Total n=135 (%)	Immunologic non- responders n=82 (%)	Immunologic responders n=53 (%)
Cytomegalovirus (CMV)			
<u>IgM test</u>			
Untested	90 (66.7)	59 (72.0)	31 (58.5)
Negative	44 (32.6)	23 (28.0)	21 (39.6)
Positive	1 (0.7)	0 (0.0)	1 (1.9)
<u>IgG test</u>			
Untested	90 (66.7)	59 (72.0)	31 (58.5)
Negative	1 (0.7)	1 (1.2)	0 (0.0)
Positive	44 (32.6)	22 (26.8)	22 (41.5)
Hepatitis B virus (HBV)			
Untested	59 (43.7)	37 (45.1)	22 (41.5)
Susceptible	38 (28.1)	21 (25.6)	17 (32.1)
Chronic infection	0 (0.0)	0 (0.0)	0 (0.0)
Immune due to natural infection	9 (6.7)	6 (7.3)	3 (5.7)
Immune due to vaccination	29 (21.5)	18 (22.0)	11 (20.8)
Hepatitis C virus (HCV)			
Untested	68 (50.4)	41 (50.0)	27 (50.9)
Negative	67 (49.6)	41 (50.0)	26 (49.1)
Positive	0 (0.0)	0 (0.0)	0 (0.0)
Human T-lymphotropic virus type 1 or 2 (HTLV-1/2)			
Untested	114 (84.4)	76 (92.7)	38 (71.7)
Negative	21 (15.6)	6 (7.3)	15 (28.3)
Positive	0 (0.0)	0 (0.0)	0 (0.0)
<i>Toxoplasma gondii</i>			
<u>IgM test</u>			
Untested	90 (66.7)	59 (72.0)	31 (58.5)
Negative	45 (33.3)	23 (28.0)	22 (41.5)
Positive	0 (0.0)	0 (0.0)	0 (0.0)
<u>IgG test</u>			
Untested	90 (66.7)	59 (72.0)	31 (58.5)
Negative	11 (8.1)	7 (8.5)	4 (7.5)
Positive	34 (25.2)	16 (19.5)	18 (34.0)
<i>Treponema pallidum</i> (VDRL test)			
Untested	47 (34.8)	30 (36.6)	17 (32.1)
Negative	68 (50.4)	38 (46.3)	30 (56.6)
Positive	20 (14.8)	14 (17.1)	6 (11.3)

Table 3. Allele and genotype frequencies of variants showing statistically significant genetic association with immunologic outcome.

#	SNP	Type	Alleles		Gene	Gene function/pathway	Allele and genotype frequencies								X ²	D F	p
			A 1	A 2			Immunologic non-responders				Immunologic responders						
							A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)			
5	rs3003596	Downstream gene region	A	G	NR1I3	Regulation of drugs and endobiotic metabolism	79 (48.2)	21 (25.6)	43 (52.4)	18 (22.0)	37 (35.6)	25 (48.1)	17 (32.7)	10 (19.2)	10.10	2	0.01
26	rs2069762	Upstream gene region	A	C	IL2	Regulates T and B lymphocytes proliferation	33 (20.1)	49 (59.8)	33 (40.2)	0 (0.0)	32 (30.2)	25 (47.2)	24 (45.3)	4 (7.5)	73.13	2	0.03
27	rs10519613	3' untranslated region	C	A	IL15	T lymphocytes activation	17 (10.5)	67 (82.7)	11 (13.6)	3 (3.7)	21 (19.8)	34 (64.2)	17 (32.1)	2 (3.8)	67.10	2	0.03
38	rs2243250	Upstream gene region	G	A	IL4	Immunoregulation	69 (42.6)	20 (24.7)	53 (65.4)	8 (9.9)	32 (32.7)	23 (46.9)	20 (40.8)	6 (12.2)	8.02	2	0.02
74	rs129081	3' untranslated region	C	G	ABCC1	Membrane transport, antiretroviral drugs efflux	78 (47.6)	24 (29.3)	38 (46.3)	20 (24.4)	39 (37.5)	16 (30.8)	33 (63.5)	3 (5.8)	82.13	2	0.02

- order (by chromosome and genomic position) in which the candidate and ancestry informative markers are listed on the Supplementary Tables, DF – degrees of freedom, X² – value of chi-squared statistic from chi-square test of independence, p – p-value.

Supplementary Table 1. List of all analyzed single nucleotide polymorphisms (SNPs) in the recruited patients.

#	SNP	Chromosome	Position	Gene (if applicable)	Official gene symbol	Function or pathway	Variant type
1	rs4908343	1	27605187	(ancestry informative marker)			
2	rs1325502	1	41894599	(ancestry informative marker)			
3	rs3737576	1	101244007	(ancestry informative marker)			
4	rs7554936	1	151150013	(ancestry informative marker)			
5	rs3003596	1	161234427	Nuclear receptor subfamily 1 group I member 3	<i>NR1I3</i>	Regulation of drugs and endobiotic metabolism	Downstream gene region
6	rs2222202	1	206772036	Interleukin 10	<i>IL10</i>	Immunoregulation	Intronic
7	rs1800871	1	206773289	Interleukin 10	<i>IL10</i>	Immunoregulation	Upstream gene region
8	rs1800890	1	206776020	Interleukin 10	<i>IL10</i>	Immunoregulation	Upstream gene region
9	rs798443	2	7828144	(ancestry informative marker)			
10	rs4666200	2	29315545	(ancestry informative marker)			
11	rs4670767	2	37714253	(ancestry informative marker)			
12	rs13400937	2	79637797	(ancestry informative marker)			
13	rs260690	2	108963282	(ancestry informative marker)			
14	rs16944	2	112837290	Interleukin 1 beta	<i>IL1B</i>	Pro-inflammation cytokine	Upstream gene region
15	rs10496971	2	145012376	(ancestry informative marker)			
16	rs9809104	3	39104938	(ancestry informative marker)			
17	rs6548616	3	79350425	(ancestry informative marker)			
18	rs12629908	3	120803869	(ancestry informative marker)			
19	rs9845457	3	136195634	(ancestry informative marker)			
20	rs1513181	3	188857208	(ancestry informative marker)			
21	rs10007810	4	41552347	(ancestry informative marker)			
22	rs115770495	4	88090508	<i>ATP binding cassette subfamily G member 2</i>	<i>ABCG2</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
23	rs1448784	4	88091168	<i>ATP binding cassette subfamily G member 2</i>	<i>ABCG2</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
24	rs2231142	4	88131171	<i>ATP binding cassette subfamily G member 2</i>	<i>ABCG2</i>	Membrane transport, antiretroviral drugs efflux	Missense (Gln141Lys)
25	rs7657799	4	104454266	(ancestry informative marker)			
26	rs2069762	4	122456825	Interleukin 12	<i>IL2</i>	Regulates T and B lymphocytes proliferation	Upstream gene region
27	rs10519613	4	141732931	Interleukin 15	<i>IL15</i>	T lymphocytes activation	3' untranslated region
28	rs10833	4	141733394	Interleukin 15	<i>IL15</i>	T lymphocytes activation	3' untranslated region

#	SNP	Chromosome	Position	Gene (if applicable)	Official gene symbol	Function or pathway	Variant type
29	rs316598	5	2364512	(ancestry informative marker)			
30	rs870347	5	6844922	(ancestry informative marker)			
31	rs1494555	5	35871088	Interleukin 7 receptor	<i>IL7R</i>	Signal transducer, regulates T lymphocytes development	Missense (Val138Ile)
32	rs11567762	5	35873099	Interleukin 7 receptor	<i>IL7R</i>	Signal transducer, regulates T lymphocytes development	Intronic
33	rs6897932	5	35874473	Interleukin 7 receptor	<i>IL7R</i>	Signal transducer, regulates T lymphocytes development	Missense (Thr244Ile)
34	rs3822731	5	35875138	Interleukin 7 receptor	<i>IL7R</i>	Signal transducer, regulates T lymphocytes development	Intronic
35	rs987106	5	35875491	Interleukin 7 receptor	<i>IL7R</i>	Signal transducer, regulates T lymphocytes development	Intronic
36	rs3194051	5	35876172	Interleukin 7 receptor	<i>IL7R</i>	Signal transducer, regulates T lymphocytes development	Missense (Ile356Val)
37	rs6451722	5	43711276	(ancestry informative marker)			
38	rs2243250	5	132673462	Interleukin 4	<i>IL4</i>	Immunoregulation	Upstream gene region
39	rs6422347	5	178436082	(ancestry informative marker)			
40	rs1040045	6	4746925	(ancestry informative marker)			
41	rs2504853	6	12534879	(ancestry informative marker)			
42	rs4463276	6	144734195	(ancestry informative marker)			
43	rs731257	7	12629626	(ancestry informative marker)			
44	rs3842	7	87504050	ATP binding cassette subfamily B member 1	<i>ABCB1</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
45	rs2235048	7	87509195	ATP binding cassette subfamily B member 1	<i>ABCB1</i>	Membrane transport, antiretroviral drugs efflux	Intronic
46	rs1128503	7	87550285	ATP binding cassette subfamily B member 1	<i>ABCB1</i>	Membrane transport, antiretroviral drugs efflux	Synonymous (Gly412Gly)
47	rs2214102	7	87600185	ATP binding cassette subfamily B member 1	<i>ABCB1</i>	Membrane transport, antiretroviral drugs efflux	5' untranslated region
48	rs4646437	7	99767460	Cytochrome P450 family 3 subfamily A member 4	<i>CYP3A4</i>	Antiretroviral drugs metabolism	Intronic
49	rs7803075	7	131057307	(ancestry informative marker)			
50	rs10236187	7	139747578	(ancestry informative marker)			
51	rs10108270	8	4333271	(ancestry informative marker)			
52	rs3943253	8	13501991	(ancestry informative marker)			
53	rs1471939	8	29083788	(ancestry informative marker)			
54	rs4746136	10	73541236	(ancestry informative marker)			
55	rs2234767	10	88989499	Fas cell surface death receptor	<i>FAS</i>	Apoptosis	Upstream gene region
56	rs1800682	10	88990206	Fas cell surface death receptor	<i>FAS</i>	Apoptosis	Upstream gene region
57	rs4918842	10	113557053	(ancestry informative marker)			
58	rs2946788	11	23988984	(ancestry informative marker)			
59	rs11568629	11	62984340	Solute carrier family 22 member 6	<i>SLC22A6</i>	Membrane transport, antiretroviral drugs influx/efflux	Synonymous (Pro117Pro)

#	SNP	Chromosome	Position	Gene (if applicable)	Official gene symbol	Function or pathway	Variant type
60	rs11568628	11	62984439	Solute carrier family 22 member 6	<i>SLC22A6</i>	Membrane transport, antiretroviral drugs influx/efflux	Synonymous (Pro84Pro)
61	rs4149170	11	62984817	Solute carrier family 22 member 6	<i>SLC22A6</i>	Membrane transport, antiretroviral drugs influx/efflux	5' untranslated region
62	rs3135932	11	117993348	Interleukin 10 receptor subunit alpha	<i>IL10RA</i>	Signal transducer, immunoregulation	Missense (Ser159Gly)
63	rs9610	11	118001371	Interleukin 10 receptor subunit alpha	<i>IL10RA</i>	Signal transducer, immunoregulation	3' untranslated region
64	rs2416791	12	11548554	(ancestry informative marker)			
65	rs772262	12	55769950	(ancestry informative marker)			
66	rs2069709	12	68159923	Interferon Gamma	<i>IFNG</i>	Immunoregulation	Upstream gene region
67	rs9319336	13	27050219	(ancestry informative marker)			
68	rs7997709	13	34273600	(ancestry informative marker)			
69	rs9530435	13	75419751	(ancestry informative marker)			
70	rs9522149	13	111174820	(ancestry informative marker)			
71	rs1760921	14	20349972	(ancestry informative marker)			
72	rs3784230	14	105212718	(ancestry informative marker)			
73	rs762551	15	74749576	Cytochrome P450 family 1 subfamily A member 2	<i>CYP1A2</i>	Antiretroviral drugs metabolism	Intronic
74	rs129081	16	16142082	ATP binding cassette subfamily C member 1	<i>ABCC1</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
75	rs113264879	16	16142164	ATP binding cassette subfamily C member 1	<i>ABCC1</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
76	rs4148380	16	16142574	ATP binding cassette subfamily C member 1	<i>ABCC1</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
77	rs8056298	16	16142666	ATP binding cassette subfamily C member 1	<i>ABCC1</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
78	rs212091	16	16142793	ATP binding cassette subfamily C member 1	<i>ABCC1</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
79	rs16967632	16	16142926	ATP binding cassette subfamily C member 1	<i>ABCC1</i>	Membrane transport, antiretroviral drugs efflux	3' untranslated region
80	rs2107538	17	35880776	C-C motif chemokine ligand 5	<i>CCL5</i>	Immunoregulation	Upstream gene region
81	rs11652805	17	64991033	(ancestry informative marker)			
82	rs2125345	17	75786110	(ancestry informative marker)			
83	rs4891825	18	70200427	(ancestry informative marker)			
84	rs8192726	19	40848591	Cytochrome P450 family 2 subfamily A member 6	<i>CYP2A6</i>	Antiretroviral drugs metabolism	Intronic
85	rs8192709	19	40991369	Cytochrome P450 family 2 subfamily B member 6	<i>CYP2B6</i>	Antiretroviral drugs metabolism	Missense (Arg22Cys)
86	rs28399499	19	41012316	Cytochrome P450 family 2 subfamily B member 6	<i>CYP2B6</i>	Antiretroviral drugs metabolism	Missense (Ile328Thr)
87	rs34097093	19	41012465	Cytochrome P450 family 2 subfamily B member 6	<i>CYP2B6</i>	Antiretroviral drugs metabolism	Stop (Arg378*)
88	rs28399502	19	41016965	Cytochrome P450 family 2 subfamily B member 6	<i>CYP2B6</i>	Antiretroviral drugs metabolism	3' untranslated region
89	rs707265	19	41018182	Cytochrome P450 family 2 subfamily B member 6	<i>CYP2B6</i>	Antiretroviral drugs metabolism	3' untranslated region
90	rs1042389	19	41018248	Cytochrome P450 family 2 subfamily B member 6	<i>CYP2B6</i>	Antiretroviral drugs metabolism	3' untranslated region

#	SNP	Chromosome	Position	Gene (if applicable)	Official gene symbol	Function or pathway	Variant type
91	rs6104567	20	10214785	(ancestry informative marker)			
92	rs3907047	20	55384376	(ancestry informative marker)			
93	rs4821004	22	31970372	(ancestry informative marker)			
94	rs5768007	22	47812123	(ancestry informative marker)			

Supplementary Table 2. Allele and genotype frequencies of all variants analyzed.

#	SNP	Alleles		Gene (if applicable)	Immunologic non-responders					Immunologic responders					GCR	HWE p
		A1	A2		A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)	A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)		
1	rs4908343	A	G	(ancestry informative marker)	92 (56.1)	72 (43.9)	22 (26.8)	48 (58.5)	12 (14.6)	58 (55.8)	46 (44.2)	16 (30.8)	26 (50.0)	10 (19.2)	98.1	0.22
2	rs1325502	G	A	(ancestry informative marker)	104 (63.4)	60 (36.6)	33 (40.2)	38 (46.3)	11 (13.4)	69 (65.1)	37 (34.9)	23 (43.4)	23 (43.4)	7 (13.2)	100	0.85
3	rs3737576	A	G	(ancestry informative marker)	149 (90.9)	15 (9.1)	68 (82.9)	13 (15.9)	1 (1.2)	95 (89.6)	11 (10.4)	44 (83.0)	7 (13.2)	2 (3.8)	100	0.10
4	rs7554936	G	A	(ancestry informative marker)	94 (57.3)	70 (42.7)	31 (37.8)	32 (39.0)	19 (23.2)	56 (52.8)	50 (47.2)	13 (24.5)	30 (56.6)	10 (18.9)	100	0.49
5	rs3003596	A	G	<i>NR1I3</i>	85 (51.8)	79 (48.2)	21 (25.6)	43 (52.4)	18 (22.0)	67 (64.4)	37 (35.6)	25 (48.1)	17 (32.7)	10 (19.2)	98.1	0.3
6	rs2222202	G	A	<i>IL10</i>	107 (65.2)	57 (34.8)	39 (47.6)	29 (35.4)	14 (17.1)	75 (70.8)	31 (29.2)	28 (52.8)	19 (35.8)	6 (11.3)	100	0.03
7	rs1800871	G	A	<i>IL10</i>	103 (62.8)	61 (37.2)	33 (40.2)	37 (45.1)	12 (14.6)	64 (60.4)	42 (39.6)	20 (37.7)	24 (45.3)	9 (17.0)	100	0.71
8	rs1800890	T	A	<i>IL10</i>	120 (73.2)	44 (26.8)	44 (53.7)	32 (39.0)	6 (7.3)	73 (68.9)	33 (31.1)	27 (50.9)	19 (35.8)	7 (13.2)	100	0.4
9	rs798443	A	G	(ancestry informative marker)	84 (51.9)	78 (48.1)	19 (23.5)	46 (56.8)	16 (19.8)	62 (58.5)	44 (41.5)	17 (32.1)	28 (52.8)	8 (15.1)	98.8	0.23
10	rs4666200	A	G	(ancestry informative marker)	94 (57.3)	70 (42.7)	26 (31.7)	42 (51.2)	14 (17.1)	60 (56.6)	46 (43.4)	16 (30.2)	28 (52.8)	9 (17.0)	100	0.6
11	rs4670767	C	A	(ancestry informative marker)	136 (82.9)	28 (17.1)	56 (68.3)	24 (29.3)	2 (2.4)	96 (90.6)	10 (9.4)	43 (81.1)	10 (18.9)	0 (0.0)	100	1.00
12	rs13400937	C	A	(ancestry informative marker)	84 (52.5)	76 (47.5)	23 (28.8)	38 (47.5)	19 (23.8)	57 (53.8)	49 (46.2)	18 (34.0)	21 (39.6)	14 (26.4)	97.6	0.22
13	rs260690	A	C	(ancestry informative marker)	104 (63.4)	60 (36.6)	36 (43.9)	32 (39.0)	14 (17.1)	76 (71.7)	30 (28.3)	25 (47.2)	26 (49.1)	2 (3.8)	100	0.7
14	rs16944	A	G	<i>IL1B</i>	79 (53.4)	69 (46.6)	22 (29.7)	35 (47.3)	17 (23.0)	48 (52.2)	44 (47.8)	14 (30.4)	20 (43.5)	12 (26.1)	77	0.37
15	rs10496971	A	C	(ancestry informative marker)	82 (89.1)	10 (10.9)	36 (78.3)	10 (21.7)	0 (0.0)	54 (90.0)	6 (10.0)	24 (80.0)	6 (20.0)	0 (0.0)	12.7	1.00
16	rs9809104	A	G	(ancestry informative marker)	93 (58.1)	67 (41.9)	25 (31.3)	43 (53.8)	12 (15.0)	61 (57.5)	45 (42.5)	19 (35.8)	23 (43.4)	11 (20.8)	97.6	1.00
17	rs6548616	A	G	(ancestry informative marker)	85 (53.8)	73 (46.2)	23 (29.1)	39 (49.4)	17 (21.5)	60 (57.7)	44 (42.3)	13 (25.0)	34 (65.4)	5 (9.6)	94.4	0.21
18	rs12629908	G	A	(ancestry informative marker)	138 (84.1)	26 (15.9)	57 (69.5)	24 (29.3)	1 (1.2)	88 (86.3)	14 (13.7)	37 (72.5)	14 (27.5)	0 (0.0)	96.2	0.31
19	rs9845457	NA	NA	(ancestry informative marker)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	0	NA
20	rs1513181	G	A	(ancestry informative marker)	121 (73.8)	43 (26.2)	45 (54.9)	31 (37.8)	6 (7.3)	82 (77.4)	24 (22.6)	33 (62.3)	16 (30.2)	4 (7.5)	100	0.49
21	rs10007810	G	A	(ancestry informative marker)	92 (56.1)	72 (43.9)	26 (31.7)	40 (48.8)	16 (19.5)	56 (52.8)	50 (47.2)	13 (24.5)	30 (56.6)	10 (18.9)	100	0.73
22	rs115770495	G	A	<i>ABCG2</i>	161 (98.2)	3 (1.8)	79 (96.3)	3 (3.7)	0 (0.0)	101 (95.3)	5 (4.7)	49 (92.5)	3 (5.7)	1 (1.9)	100	0.10
23	rs1448784	A	G	<i>ABCG2</i>	162 (98.8)	2 (1.2)	80 (97.6)	2 (2.4)	0 (0.0)	103 (97.2)	3 (2.8)	50 (94.3)	3 (5.7)	0 (0.0)	100	1.00
24	rs2231142	C	A	<i>ABCG2</i>	150 (91.5)	14 (8.5)	68 (82.9)	14 (17.1)	0 (0.0)	93 (87.7)	13 (12.3)	40 (75.5)	13 (24.5)	0 (0.0)	100	0.36
25	rs7657799	A	C	(ancestry informative marker)	115 (71.9)	45 (28.1)	43 (53.8)	29 (36.3)	8 (10.0)	78 (73.6)	28 (26.4)	28 (52.8)	22 (41.5)	3 (5.7)	97.6	0.67
26	rs2069762	A	C	<i>IL2</i>	131 (79.9)	33 (20.1)	49 (59.8)	33 (40.2)	0 (0.0)	74 (69.8)	32 (30.2)	25 (47.2)	24 (45.3)	4 (7.5)	100	0.10
27	rs10519613	C	A	<i>IL15</i>	145 (89.5)	17 (10.5)	67 (82.7)	11 (13.6)	3 (3.7)	85 (80.2)	21 (19.8)	34 (64.2)	17 (32.1)	2 (3.8)	98.8	0.14
28	rs10833	G	A	<i>IL15</i>	123 (79.9)	31 (20.1)	50 (64.9)	23 (29.9)	4 (5.2)	77 (77.0)	23 (23.0)	29 (58.0)	19 (38.0)	2 (4.0)	88.2	0.8
29	rs316598	G	A	(ancestry informative marker)	82 (50.6)	80 (49.4)	17 (21.0)	48 (59.3)	16 (19.8)	56 (52.8)	50 (47.2)	15 (28.3)	26 (49.1)	12 (22.6)	98.8	0.3

#	SNP	Alleles		Gene (if applicable)	Immunologic non-responders					Immunologic responders					GCR	HWE p
		A1	A2		A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)	A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)		
30	rs870347	NA	NA	(ancestry informative marker)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	0	NA
31	rs1494555	A	G	IL7R	121 (73.8)	43 (26.2)	42 (51.2)	37 (45.1)	3 (3.7)	73 (68.9)	33 (31.1)	26 (49.1)	21 (39.6)	6 (11.3)	100	0.53
32	rs11567762	G	A	IL7R	142 (86.6)	22 (13.4)	60 (73.2)	22 (26.8)	0 (0.0)	86 (81.1)	20 (18.9)	34 (64.2)	18 (34.0)	1 (1.9)	100	0.2
33	rs6897932	G	A	IL7R	134 (82.7)	28 (17.3)	54 (66.7)	26 (32.1)	1 (1.2)	86 (82.7)	18 (17.3)	34 (65.4)	18 (34.6)	0 (0.0)	96.9	0.12
34	rs3822731	A	G	IL7R	139 (84.8)	25 (15.2)	58 (70.7)	23 (28.0)	1 (1.2)	87 (82.1)	19 (17.9)	35 (66.0)	17 (32.1)	1 (1.9)	100	0.53
35	rs987106	A	T	IL7R	82 (50.6)	80 (49.4)	20 (24.7)	42 (51.9)	19 (23.5)	55 (51.9)	51 (48.1)	16 (30.2)	23 (43.4)	14 (26.4)	98.8	0.73
36	rs3194051	A	G	IL7R	113 (69.8)	49 (30.2)	40 (49.4)	33 (40.7)	8 (9.9)	77 (74.0)	27 (26.0)	30 (57.7)	17 (32.7)	5 (9.6)	96.9	0.4
37	rs6451722	G	A	(ancestry informative marker)	87 (53.0)	77 (47.0)	24 (29.3)	39 (47.6)	19 (23.2)	61 (57.5)	45 (42.5)	17 (32.1)	27 (50.9)	9 (17.0)	100	0.86
38	rs2243250	G	A	IL4	93 (57.4)	69 (42.6)	20 (24.7)	53 (65.4)	8 (9.9)	66 (67.3)	32 (32.7)	23 (46.9)	20 (40.8)	6 (12.2)	91.3	0.04
39	rs6422347	A	G	(ancestry informative marker)	100 (61.0)	64 (39.0)	27 (32.9)	46 (56.1)	9 (11.0)	65 (61.3)	41 (38.7)	20 (37.7)	25 (47.2)	8 (15.1)	100	0.28
40	rs1040045	A	G	(ancestry informative marker)	87 (53.0)	77 (47.0)	25 (30.5)	37 (45.1)	20 (24.4)	64 (62.7)	38 (37.3)	18 (35.3)	28 (54.9)	5 (9.8)	96.2	1.00
41	rs2504853	G	A	(ancestry informative marker)	91 (55.5)	73 (44.5)	24 (29.3)	43 (52.4)	15 (18.3)	63 (59.4)	43 (40.6)	18 (34.0)	27 (50.9)	8 (15.1)	100	0.6
42	rs4463276	A	G	(ancestry informative marker)	85 (51.8)	79 (48.2)	24 (29.3)	37 (45.1)	21 (25.6)	60 (56.6)	46 (43.4)	18 (34.0)	24 (45.3)	11 (20.8)	100	0.3
43	rs731257	G	A	(ancestry informative marker)	140 (85.4)	24 (14.6)	61 (74.4)	18 (22.0)	3 (3.7)	90 (86.5)	14 (13.5)	38 (73.1)	14 (26.9)	0 (0.0)	98.1	0.73
44	rs3842	A	G	ABCB1	131 (79.9)	33 (20.1)	53 (64.6)	25 (30.5)	4 (4.9)	90 (84.9)	16 (15.1)	38 (71.7)	14 (26.4)	1 (1.9)	100	0.77
45	rs2235048	A	G	ABCB1	99 (60.4)	65 (39.6)	30 (36.6)	39 (47.6)	13 (15.9)	71 (68.3)	33 (31.7)	24 (46.2)	23 (44.2)	5 (9.6)	98.1	1.00
46	rs1128503	G	A	ABCB1	112 (68.3)	52 (31.7)	39 (47.6)	34 (41.5)	9 (11.0)	75 (70.8)	31 (29.2)	27 (50.9)	21 (39.6)	5 (9.4)	100	0.69
47	rs2214102	G	A	ABCB1	159 (97.0)	5 (3.0)	77 (93.9)	5 (6.1)	0 (0.0)	104 (98.1)	2 (1.9)	51 (96.2)	2 (3.8)	0 (0.0)	100	1.00
48	rs4646437	G	A	CYP3A4	112 (68.3)	52 (31.7)	40 (48.8)	32 (39.0)	10 (12.2)	68 (64.2)	38 (35.8)	25 (47.2)	18 (34.0)	10 (18.9)	100	0.05
49	rs7803075	NA	NA	(ancestry informative marker)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	NA (NA)	0	NA
50	rs10236187	A	C	(ancestry informative marker)	114 (70.4)	48 (29.6)	39 (48.1)	36 (44.4)	6 (7.4)	75 (73.5)	27 (26.5)	28 (54.9)	19 (37.3)	4 (7.8)	95	1.00
51	rs10108270	C	A	(ancestry informative marker)	90 (54.9)	74 (45.1)	29 (35.4)	32 (39.0)	21 (25.6)	54 (51.9)	50 (48.1)	14 (26.9)	26 (50.0)	12 (23.1)	98.1	0.16
52	rs3943253	A	G	(ancestry informative marker)	121 (73.8)	43 (26.2)	46 (56.1)	29 (35.4)	7 (8.5)	76 (74.5)	26 (25.5)	28 (54.9)	20 (39.2)	3 (5.9)	96.2	0.65
53	rs1471939	A	G	(ancestry informative marker)	125 (76.2)	39 (23.8)	0 (0.0)	31 (88.6)	4 (11.4)	74 (71.2)	30 (28.8)	25 (48.1)	24 (46.2)	3 (5.8)	40.8	0.5
54	rs4746136	G	A	(ancestry informative marker)	121 (74.7)	41 (25.3)	45 (55.6)	31 (38.3)	5 (6.2)	87 (82.1)	19 (17.9)	35 (66.0)	17 (32.1)	1 (1.9)	98.8	1.00
55	rs2234767	G	A	FAS	140 (85.4)	24 (14.6)	60 (73.2)	20 (24.4)	2 (2.4)	97 (91.5)	9 (8.5)	44 (83.0)	9 (17.0)	0 (0.0)	100	1.00
56	rs1800682	G	A	FAS	97 (59.1)	67 (40.9)	31 (37.8)	35 (42.7)	16 (19.5)	63 (59.4)	43 (40.6)	18 (34.0)	27 (50.9)	8 (15.1)	100	0.59
57	rs4918842	A	G	(ancestry informative marker)	134 (81.7)	30 (18.3)	53 (64.6)	28 (34.1)	1 (1.2)	87 (82.1)	19 (17.9)	36 (67.9)	15 (28.3)	2 (3.8)	100	0.57
58	rs2946788	A	C	(ancestry informative marker)	106 (64.6)	58 (35.4)	33 (40.2)	40 (48.8)	9 (11.0)	53 (51.0)	51 (49.0)	13 (25.0)	27 (51.9)	12 (23.1)	98.1	0.86
59	rs11568629	A	G	SLC22A6	93 (98.9)	1 (1.1)	46 (97.9)	1 (2.1)	0 (0.0)	64 (97.0)	2 (3.0)	31 (93.9)	2 (6.1)	0 (0.0)	19.6	1.00
60	rs11568628	C	A	SLC22A6	162 (98.8)	2 (1.2)	80 (97.6)	2 (2.4)	0 (0.0)	103 (97.2)	3 (2.8)	50 (94.3)	3 (5.7)	0 (0.0)	100	1.00

#	SNP	Alleles		Gene (if applicable)	Immunologic non-responders					Immunologic responders					GCR	HWE p
		A1	A2		A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)	A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)		
61	rs4149170	G	A	<i>SLC22A6</i>	128 (80.0)	32 (20.0)	52 (65.0)	24 (30.0)	4 (5.0)	82 (82.0)	18 (18.0)	35 (70.0)	12 (24.0)	3 (6.0)	91.9	0.25
62	rs3135932	A	G	<i>IL10RA</i>	151 (92.1)	13 (7.9)	69 (84.1)	13 (15.9)	0 (0.0)	100 (94.3)	6 (5.7)	47 (88.7)	6 (11.3)	0 (0.0)	100	1.00
63	rs9610	A	G	<i>IL10RA</i>	89 (54.9)	73 (45.1)	22 (27.2)	45 (55.6)	14 (17.3)	58 (54.7)	48 (45.3)	18 (34.0)	22 (41.5)	13 (24.5)	98.8	1.00
64	rs2416791	G	A	(ancestry informative marker)	97 (59.9)	65 (40.1)	28 (34.6)	41 (50.6)	12 (14.8)	63 (59.4)	43 (40.6)	15 (28.3)	33 (62.3)	5 (9.4)	98.8	0.11
65	rs772262	G	A	(ancestry informative marker)	92 (57.5)	68 (42.5)	26 (32.5)	40 (50.0)	14 (17.5)	63 (59.4)	43 (40.6)	17 (32.1)	29 (54.7)	7 (13.2)	97.6	0.48
66	rs2069709	C	A	<i>IFNG</i>	163 (99.4)	1 (0.6)	81 (98.8)	1 (1.2)	0 (0.0)	105 (99.1)	1 (0.9)	52 (98.1)	1 (1.9)	0 (0.0)	100	1.00
67	rs9319336	A	G	(ancestry informative marker)	133 (82.1)	29 (17.9)	54 (66.7)	25 (30.9)	2 (2.5)	90 (84.9)	16 (15.1)	40 (75.5)	10 (18.9)	3 (5.7)	98.8	0.53
68	rs7997709	A	G	(ancestry informative marker)	121 (73.8)	43 (26.2)	45 (54.9)	31 (37.8)	6 (7.3)	92 (86.8)	14 (13.2)	40 (75.5)	12 (22.6)	1 (1.9)	100	0.61
69	rs9530435	G	A	(ancestry informative marker)	105 (64.0)	59 (36.0)	36 (43.9)	33 (40.2)	13 (15.9)	64 (62.7)	38 (37.3)	17 (33.3)	30 (58.8)	4 (7.8)	96.2	0.85
70	rs9522149	A	G	(ancestry informative marker)	86 (54.4)	72 (45.6)	30 (38.0)	26 (32.9)	23 (29.1)	57 (55.9)	45 (44.1)	16 (31.4)	25 (49.0)	10 (19.6)	92.5	0.01
71	rs1760921	A	G	(ancestry informative marker)	110 (68.8)	50 (31.3)	36 (45.0)	38 (47.5)	6 (7.5)	79 (76.0)	25 (24.0)	29 (55.8)	21 (40.4)	2 (3.8)	95.7	0.39
72	rs3784230	G	A	(ancestry informative marker)	95 (58.6)	67 (41.4)	28 (34.6)	39 (48.1)	14 (17.3)	65 (61.3)	41 (38.7)	20 (37.7)	25 (47.2)	8 (15.1)	98.8	1.00
73	rs762551	A	C	<i>CYP1A2</i>	105 (66.5)	53 (33.5)	35 (44.3)	35 (44.3)	9 (11.4)	80 (75.5)	26 (24.5)	32 (60.4)	16 (30.2)	5 (9.4)	96.3	0.41
74	rs129081	C	G	<i>ABCC1</i>	86 (52.4)	78 (47.6)	24 (29.3)	38 (46.3)	20 (24.4)	65 (62.5)	39 (37.5)	16 (30.8)	33 (63.5)	3 (5.8)	98.1	0.48
75	rs113264879	G	A	<i>ABCC1</i>	162 (98.8)	2 (1.2)	80 (97.6)	2 (2.4)	0 (0.0)	103 (97.2)	3 (2.8)	50 (94.3)	3 (5.7)	0 (0.0)	100	1.00
76	rs4148380	G	A	<i>ABCC1</i>	158 (96.3)	6 (3.7)	76 (92.7)	6 (7.3)	0 (0.0)	98 (92.5)	8 (7.5)	45 (84.9)	8 (15.1)	0 (0.0)	100	1.00
77	rs8056298	C	A	<i>ABCC1</i>	155 (94.5)	9 (5.5)	73 (89.0)	9 (11.0)	0 (0.0)	99 (93.4)	7 (6.6)	46 (86.8)	7 (13.2)	0 (0.0)	100	1.00
78	rs212091	A	G	<i>ABCC1</i>	140 (86.4)	22 (13.6)	60 (74.1)	20 (24.7)	1 (1.2)	90 (86.5)	14 (13.5)	38 (73.1)	14 (26.9)	0 (0.0)	96.9	0.47
79	rs16967632	G	A	ABCC1	107 (99.1)	1 (0.9)	53 (98.1)	1 (1.9)	0 (0.0)	59 (98.3)	1 (1.7)	29 (96.7)	1 (3.3)	0 (0.0)	22.5	1.00
80	rs2107538	G	A	<i>CCL5</i>	124 (75.6)	40 (24.4)	47 (57.3)	30 (36.6)	5 (6.1)	81 (76.4)	25 (23.6)	32 (60.4)	17 (32.1)	4 (7.5)	100	0.64
81	rs11652805	G	A	(ancestry informative marker)	44 (50.0)	44 (50.0)	11 (25.0)	22 (50.0)	11 (25.0)	35 (60.3)	23 (39.7)	9 (31.0)	17 (58.6)	3 (10.3)	8.4	0.64
82	rs2125345	G	A	(ancestry informative marker)	87 (53.7)	75 (46.3)	23 (28.4)	41 (50.6)	17 (21.0)	67 (63.2)	39 (36.8)	21 (39.6)	25 (47.2)	7 (13.2)	98.8	0.86
83	rs4891825	A	G	(ancestry informative marker)	110 (67.1)	54 (32.9)	37 (45.1)	36 (43.9)	9 (11.0)	68 (64.2)	38 (35.8)	23 (43.4)	22 (41.5)	8 (15.1)	100	0.7
84	rs8192726	C	A	<i>CYP2A6</i>	157 (95.7)	7 (4.3)	75 (91.5)	7 (8.5)	0 (0.0)	99 (93.4)	7 (6.6)	46 (86.8)	7 (13.2)	0 (0.0)	100	1.00
85	rs8192709	G	A	<i>CYP2B6</i>	153 (96.8)	5 (3.2)	74 (93.7)	5 (6.3)	0 (0.0)	99 (95.2)	5 (4.8)	47 (90.4)	5 (9.6)	0 (0.0)	94.4	1.00
86	rs28399499	A	G	<i>CYP2B6</i>	155 (94.5)	9 (5.5)	73 (89.0)	9 (11.0)	0 (0.0)	104 (98.1)	2 (1.9)	51 (96.2)	2 (3.8)	0 (0.0)	100	1.00
87	rs34097093	G	A	<i>CYP2B6</i>	164 (100.0)	0 (0.0)	82 (100.0)	0 (0.0)	0 (0.0)	106 (100.0)	0 (0.0)	53 (100.0)	0 (0.0)	0 (0.0)	100	NA
88	rs28399502	C	A	<i>CYP2B6</i>	160 (97.6)	4 (2.4)	78 (95.1)	4 (4.9)	0 (0.0)	102 (100.0)	0 (0.0)	51 (100.0)	0 (0.0)	0 (0.0)	96.2	1.00
89	rs707265	G	A	<i>CYP2B6</i>	106 (65.4)	56 (34.6)	34 (42.0)	38 (46.9)	9 (11.1)	79 (74.5)	27 (25.5)	30 (56.6)	19 (35.8)	4 (7.5)	98.8	1.00
90	rs1042389	A	G	<i>CYP2B6</i>	129 (79.6)	33 (20.4)	53 (65.4)	23 (28.4)	5 (6.2)	86 (82.7)	18 (17.3)	35 (67.3)	16 (30.8)	1 (1.9)	96.9	0.57
91	rs6104567	A	C	(ancestry informative marker)	111 (68.5)	51 (31.5)	39 (48.1)	33 (40.7)	9 (11.1)	77 (72.6)	29 (27.4)	26 (49.1)	25 (47.2)	2 (3.8)	98.8	0.84

#	SNP	Alleles		Gene (if applicable)	Immunologic non-responders					Immunologic responders					GCR	HWE p
		A1	A2		A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)	A1 (%)	A2 (%)	A1/A1 (%)	A1/A2 (%)	A2/A2 (%)		
92	rs3907047	A	G	(ancestry informative marker)	143 (87.2)	21 (12.8)	62 (75.6)	19 (23.2)	1 (1.2)	96 (90.6)	10 (9.4)	44 (83.0)	8 (15.1)	1 (1.9)	100	0.68
93	rs4821004	A	G	(ancestry informative marker)	100 (61.0)	64 (39.0)	28 (34.1)	44 (53.7)	10 (12.2)	55 (53.9)	47 (46.1)	12 (23.5)	31 (60.8)	8 (15.7)	96.2	0.08
94	rs5768007	G	A	(ancestry informative marker)	132 (81.5)	30 (18.5)	53 (65.4)	26 (32.1)	2 (2.5)	94 (90.4)	10 (9.6)	43 (82.7)	8 (15.4)	1 (1.9)	96.9	1.00

GCR – global call rate; HWE p – p-value from the exact test to assess compliance to Hardy-Weinberg equilibrium, NA – not available.

Supplementary Table 3. Complete results of the genetic association tests with the immunologic outcome

#	SNP	Gene	X ²	Degrees of freedom	p-value
5	rs3003596	<i>NR1I3</i>	10.10	2	0.01
6	rs2222202	<i>IL10</i>	0.90	2	0.64
7	rs1800871	<i>IL10</i>	0.17	2	0.92
8	rs1800890	<i>IL10</i>	1.29	2	0.52
22	rs115770495	<i>ABCG2</i>	18.89	2	0.39
23	rs1448784	<i>ABCG2</i>	0.25	1	0.62
24	rs2231142	<i>ABCG2</i>	0.70	1	0.40
26	rs2069762	<i>IL2</i>	73.13	2	0.03
27	rs10519613	<i>IL15</i>	67.10	2	0.03
31	rs1494555	<i>IL7R</i>	30.92	2	0.21
32	rs11567762	<i>IL7R</i>	24.76	2	0.29
33	rs6897932	<i>IL7R</i>	0.71	2	0.70
34	rs3822731	<i>IL7R</i>	0.38	2	0.83
35	rs987106	<i>IL7R</i>	10.66	2	0.59
36	rs3194051	<i>IL7R</i>	0.96	2	0.62
38	rs2243250	<i>IL4</i>	8.02	2	0.02
44	rs3842	<i>ABCB1</i>	12.01	2	0.55
45	rs2235048	<i>ABCB1</i>	17.21	2	0.42
46	rs1128503	<i>ABCB1</i>	0.18	2	0.92
47	rs2214102	<i>ABCB1</i>	0.04	1	0.84
48	rs4646437	<i>CYP3A4</i>	12.08	2	0.55
55	rs2234767	<i>FAS</i>	25.21	2	0.28
56	rs1800682	<i>FAS</i>	0.96	2	0.62
60	rs11568628	<i>SLC22A6</i>	0.25	1	0.62
61	rs4149170	<i>SLC22A6</i>	0.57	2	0.75
62	rs3135932	<i>IL10RA</i>	0.24	1	0.63
63	rs9610	<i>IL10RA</i>	25.95	2	0.27
66	rs2069709	<i>IFNG</i>	6.22E-29	1	1.00
73	rs762551	<i>CYP1A2</i>	3.37	2	0.19
74	rs129081	<i>ABCC1</i>	82.13	2	0.02
75	rs113264879	<i>ABCC1</i>	0.25	1	0.62
76	rs4148380	<i>ABCC1</i>	13.42	1	0.25
77	rs8056298	<i>ABCC1</i>	0.01	1	0.91
78	rs212091	<i>ABCC1</i>	0.71	1	0.70
80	rs2107538	<i>CCL5</i>	0.34	2	0.84
84	rs8192726	<i>CYP2A6</i>	0.34	1	0.56
85	rs8192709	<i>CYP2B6</i>	0.13	1	0.72
86	rs28399499	<i>CYP2B6</i>	13.73	1	0.24
88	rs28399502	<i>CYP2B6</i>	11.65	1	0.28
89	rs707265	<i>CYP2B6</i>	27.77	2	0.25
90	rs1042389	<i>CYP2B6</i>	13.46	2	0.51

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- Upload figures consecutively to the Editorial Manager web site and enter figure numbers consecutively in the Description field when uploading the files.
- Illustrations should be presented to a width of 82 mm or, when the illustration demands it, to a width of 166 mm.

- Photomicrographs must have internal scale markers.
- If photographs of people are used, their identities must be obscured or the picture must be accompanied by written consent to use the photograph.
- If a figure has been published before, the original source must be acknowledged and written permission from the copyright holder for both print and electronic formats should be submitted with the material. Permission is required regardless of authorship or publisher, except for documents in the public domain.
- Figures may be reduced, cropped or deleted at the discretion of the editor.
- Colour illustrations are acceptable but authors will be expected to cover the extra reproduction costs (for current charges, contact the publisher).

Legends for illustrations

Captions should be typed in double spacing, beginning on a separate page of the manuscript file. Each figure should have an Arabic numeral corresponding to the illustration to which it refers. Internal scales should be explained and staining methods for photomicrographs should be identified.

Units of measurement

Measurements of length, height, weight, and volume should be reported in metric units (metre, kilogram, or litre) or their decimal multiples. Temperatures should be given in degrees Celsius. Blood pressures should be given in millimetres of mercury.

All haematologic and clinical chemistry measurements should be reported in the metric system in terms of the International System of Units (SI). Editors may request that alternative or non-SI units be added by the authors before publication.

Abbreviations and symbols

Use only standard abbreviations. Avoid abbreviations in the title and abstract. The full term for which an abbreviation stands should precede its first use in the text unless it is a standard unit of measurement.

Nomenclature

Authors are asked to confirm in their covering letter of submission, that their manuscript complies with the nomenclature guidelines developed by the HUGO nomenclature committee for human genes. The guidelines can be found at the following sites:

Human genes

Use genetic notation and symbols approved by the HUGO Nomenclature Committee. Before submission, approved gene symbols should be obtained from the HUGO Nomenclature Committee (<http://www.genenames.org>). Useful reference articles and forms: White et al. (1997), 'Guidelines for Human Gene Nomenclature', *Genomics*, 45, 468-471]; to submit new gene names, the Gene Name Proposal form may be completed on the nomenclature web page: (<http://www.genenames.org/cgi-bin/request>).

Human genetic variation

Designation of single nucleotide polymorphisms (SNPs), deletions, insertions and other gene mutations should follow the guidelines given in *Hum Genet* 2001; 109:121–124. The nomenclatures for allelic variations of human P450s should adhere to the recommendations given at <http://www.imm.ki.se/CYPalleles/> those of N-acetyl transferases at <http://www.louisville.edu/medschool/pharmacology/NAT.html> and those of UDP glycosyltransferases in *Pharmacogenetics* 1997; 7:255–269.

Human cytogenetics

Use ISCN nomenclature for cytogenetics notation [Mitelman, F. (ed.) ISCN 1995: An International System for Human Cytogenetic Nomenclature, S. Karger, Basel]. Human gene names and loci should be written in uppercase italics and Arabic numerals. Protein products are not italicised.

Mouse strain and genetic nomenclature:

International Committee on Standardised Genetic Nomenclature for Mice

(<http://www.informatics.jax.org/>) new symbols and names for genes should be obtained before submission.

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Example:

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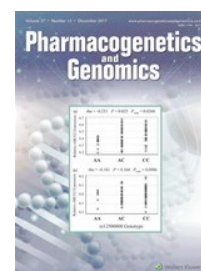
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ANEXO B: CURRÍCULO LATTES ATUALIZADO

Ronald Rodrigues de Moura
Curriculum Vitae

Novembro/2017

Ronald Rodrigues de Moura

Curriculum Vitae

Dados pessoais

Nome Ronald Rodrigues de Moura
Filiação Fernandes Ramos de Moura e Marinalva Rodrigues de Moura
Nascimento 26/02/1989 - Recife/PE - Brasil
Carteira de Identidade 7327287 SDS - PE - 19/07/2003
CPF 074.339.224-82

Formação acadêmica/titulação

- 2014** Doutorado em Genética.
 Universidade Federal de Pernambuco, UFPE, Recife, Brasil
 com período sanduíche em Università degli Studi di Trieste (Orientador : Adamo Pio D'Adamo)
 Título: Inferência da miscigenação genética em populações de Pernambuco: _Aplicação em estudos de associação
 Orientador: Lucas André Cavalcanti Brandão
 Bolsista do(a): Coordenação de Aperfeiçoamento de Pessoal de Nível Superior
- 2012 - 2014** Mestrado em Genética.
 Universidade Federal de Pernambuco, UFPE, Recife, Brasil
 Título: Avaliação de polimorfismos de genes da imunidade inata associados ao diabetes mellitus tipo 1, Ano de obtenção: 2014
 Orientador: Lucas André Cavalcanti Brandão
 Bolsista do(a): Fundação de Amparo à Ciência e Tecnologia do Estado de Pernambuco
- 2008 - 2011** Graduação em Ciências Biológicas.
 Universidade Federal Rural de Pernambuco, UFRPE, Recife, Brasil
 Título: Estudo da base genética das cultivares de feijão preto (*Phaseolus vulgaris* L.) do Brasil
 Orientador: Edson Ferreira da Silva
- Ensino Profissional de nível técnico interrompido(a) .
 Instituto Federal de Pernambuco, IFPE, Recife, Brasil
 Ano de interrupção: 2009
- 2004 - 2006** Ensino Médio (2o grau) .
 SESI - CAT DR. Diniz Passos, SESI, Brasil

Formação complementar

- 2014 - 2014** Curso de curta duração em Metacore e Integrity (Thomson Reuters). (Carga horária: 20h).
 Universidade Federal de Pernambuco, UFPE, Recife, Brasil

Atuação profissional

1. Universidade Federal de Pernambuco - UFPE

Vínculo institucional
2012 - 2014

Vínculo: Mestrando , Enquadramento funcional: Mestrado, Regime: Dedicção exclusiva

Projetos

Projetos de pesquisaProjetos de pesquisa**2015 - Atual** Determinação do perfil genético, através de marcadores autossômicos e de linhagem, e desenvolvimento de estratégias metodológicas de inferências biogeográficas e fisionômicas a partir do DNA de populações do Nordeste para utilização em Genética Forense

Descrição: Para a determinação do perfil genético de amostras biológicas, utilizam-se principalmente marcadores em regiões específicas do DNA que são polimórficas na população, como as regiões de micro e minissatélites – short tandem repeats (STR). Nos casos em que ocorre mistura de material biológico, como nos crimes sexuais onde geralmente tem-se um homem como perpetrador e a mulher como vítima é possível recorrer à caracterização de polimorfismos específicos do cromossomo Y (Y-STR) para aumentar as chances de serem detectados, mesmo em pequenas quantidades de DNA, pois apenas o material genético de proveniência masculina é amplificado, sendo o perfil obtido podendo ainda ser comparado com diferentes suspeitos. Além disso, é necessário alterar o arcabouço estatístico necessário para comprovar ou refutar a presença do DNA do suspeito na amostra através da implementação de softwares que atuem nesse sentido. Para a identificação de uma amostra por meio do exame de DNA é necessário o confronto com uma amostra da vítima, do suspeito ou de um familiar (amostra referência). Na ausência da amostra referência, o material genético pode ainda ser identificado através da inferência de sua ancestralidade biogeográfica (Y-DNA, DNAs mitocondrial e autossômico) e de características externamente visíveis (CEV), como o aspecto facial, cor da pele, íris e cabelo. Visando aumentar o leque de possibilidades em que a análise de DNA pode ser utilizada no contexto forense, o presente projeto pretende: Criar um banco de dados genéticos a partir de marcadores autossômicos e de linhagens (mtDNA e marcadores no cromossomo Y) de indivíduos do nordeste; Identificar a origem biogeográfica através de marcadores autossômicos e de linhagem determinando sub-haplogrupos do mtDNA e cromossomo Y que permitem diferenciar as linhagens parentais presentes na população brasileira; Implementar sistemas de marcadores genéticos na identificação de características externamente visíveis, tais como modelagem facial, cor da íris, pele e cabelo; Desenvolver um software para realização de cálculos envolvidos em diversos contextos em genética forense baseado em uma plataforma web com a possibilidade de instalação em ambientes fechados; Aplicar os resultados obtidos com os casos reais recebidos nas instituições criminais afiliadas ao projeto. Nosso estudo será multicêntrico, incluindo populações do Ceará (CE), da Paraíba (PB) e Pernambuco (PE). Além da coleta de amostras, também será realizado o registro de características externamente visíveis. Serão realizadas reações de PCR e sequenciamento de nova geração para traçarmos o perfil genético através de marcadores autossômicos e de linhagem, bem como a inferência da ancestralidade biogeográfica dos indivíduos e predição de características externamente visíveis usando SNPs.

Situação: Em andamento Natureza: Projetos de pesquisa

Integrantes: Ronald Rodrigues de Moura; Sergio Crovella (Responsável); Lucas André Cavalcanti Brandao; Sérgio Paiva; Antônio Victor Campos Coelho; Kaynara Cecília Nery Rabêlo; Tatiana Costa de Oliveira; Manuela Barbosa Rodrigues de Souza; Klaudia Emanuela Ramos Tenorio; Simone Silva Santos Lopes; Silvana Magna Cavalcante do Monte; Sergio Marques de Lucena; Eliane dos Santos Pereira; Debora Menezes da Costa; Samara da Silva Cardoso Santiago

Financiador(es): Coordenação de Aperfeiçoamento de Pessoal de Nível Superior-CAPES

2014 - Atual Rede InterSys: Biologia Sistêmica no Estudo de Função Gênica em Interações Bióticas

Descrição: O projeto envolve nove instituições e 17 subprojetos. Pretende estabelecer a rede INTERSYS, voltada para a formação de pessoal e geração de conhecimento científico de alto nível envolvendo interações bióticas a partir de abordagens multidisciplinares de biologia sistêmica (ômicas, biologia celular e bioinformática) através da integração de grupos nacionais e internacionais experientes..

Situação: Em andamento; Natureza: Pesquisa.

Situação: Em andamento Natureza: Projetos de pesquisa

Integrantes: Ronald Rodrigues de Moura; Ana M. Benko Iseppon (Responsável)

2012 - 2014 Avaliação de polimorfismos de genes da imunidade inata associados ao diabetes mellitus tipo 1

Descrição: De um modo geral, esse estudo visa investigar a influência genética de polimorfismos com o Diabetes Mellitus tipo 1 a fim de contribuir para o desenvolvimento de um perfil imunogenético de indivíduos que desenvolvem a doença, e especificamente: determinar a frequência de polimorfismos em genes IFH1 e PTPN2, previamente descritos na literatura como associados ao DM1, em uma população Brasileira; selecionar outros genes candidatos, previamente descritos na literatura como associados ao DM1; avaliar o grau de associação desses polimorfismos com o DM1 de um grupo de pacientes em relação a um grupo de indivíduos controle negativo e; ampliar o já formado banco de amostras de controles e pacientes com DM1. O grupo de estudo será formado por 200 pacientes com DM1 atendidos no Instituto de Medicina Integral Professor Fernando Figueira, Hospital das Clínicas e Hospital da Restauração. Após o consentimento livre e esclarecido pelo responsável do paciente, o mesmo será convidado a participar da pesquisa fornecendo 10 mL de sangue periférico total. O grupo controle será formado por adultos doadores saudáveis acima de 20 anos de idade, sem apresentar histórico familiar de doenças auto-imunes, incluindo o DM1, provenientes da Fundação HEMOPE. Será extraído o DNA genômico das células do sangue periférico anti-coagulado com EDTA de cada paciente e controle seguindo as instruções do fabricante do Kit comercial. O plasma será coletado a partir de sangue periférico total após a sua centrifugação. Inicialmente, as análises dos polimorfismos serão realizadas nos genes IFH1 e PTPN2, porém ao longo do estudo, outros genes serão selecionados. A genotipagem será feita por meio de digestão do DNA genômico com enzimas de restrição seguida de uma PCR. Os fragmentos serão observados através de eletroforese em gel. O cálculo da frequência dos polimorfismos e do grau de associação como o DM1, serão feitos os testes chi quadrado e o teste exato de Fisher a partir dos resultados das genotipagens.

Situação: Concluído Natureza: Projetos de pesquisa

Integrantes: Ronald Rodrigues de Moura (Responsável); ;

2012 - 2015 Perfil genético de Marcadores de Ancestralidade (AIMs) e fatores da imunidade na população do estado de Pernambuco

Descrição: Um dos principais legados do término do Projeto Genoma Humano foi a descoberta de milhões de variações ao longo do DNA, das quais a maioria, acima de 1,5 milhões, se referia a pontuais modificações no genoma humano chamadas de SNPs (Polimorfismo de Base Única) ou inserções e deleções de nucleotídeos (INDELs). Essas variações gênicas representam a maior fonte de variações interindividuais genéticas e podem ser utilizadas como uma extraordinária ferramenta na análise de marcadores genéticos associados à susceptibilidade a uma gama de infecções e doenças genéticas, assim como de marcadores genéticos associados com a ancestralidade. Até poucos anos atrás, a cor da pele era o principal fator utilizado na classificação das diferentes raças em estudos de associação caso-controle. Embora a correlação entre cor da pele e outras características físicas estejam realmente relacionadas à ancestralidade, existe muita variação dentro de cada grupo e entre os diferentes grupos étnicos. Através da genotipagem de SNPs ou INDELs, pode-se chegar a um número representativo de marcadores autossômicos que sejam discriminantes de uma determinada população ou grupo étnico. Esses marcadores são chamados de "Ancestry Informative Markers" (AIMs). Atualmente, a estratificação populacional de acordo com a etnia é um dos primeiros passos para a realização de estudos de associação caso-controle. Esse procedimento minimiza a possibilidade de falsos positivos ou falsos negativos gerados pela distribuição desigual dos SNPs e INDELs entre grupos étnicos da amostra populacional. As variações SNPs e Indels também são usadas para o estudo de doenças multifatoriais, ou seja, as causadas por vários fatores, entre eles os genéticos e os ambientais. Várias doenças infecciosas e autoimunes já mostraram ter associação com variações genéticas em diversos genes da imunidade inata e adaptativa. Uma maneira de relacionar os SNPs que possam estar envolvidos no desenvolvimento das doenças autoimunes são os Estudos de Associação Genética (EAG), os quais tentam esclarecer a influência de fatores genéticos sobre doenças complexas. Desta forma, o presente projeto tem como objetivo avaliar a ancestralidade e o perfil imunogenético de uma amostra da população de doadores da Fundação HEMOPE do Recife do estado de Pernambuco através de marcadores informativos de ancestralidade (AIMs) e de SNPs/Indels de genes representativos da imunidade inata e adaptativa que possam estar envolvidos com a susceptibilidade a doenças infecciosas e/ou autoimunes. Com a caracterização da ancestralidade, os controles saudáveis poderão ser estratificados de acordo com a etnia, do ponto de vista genético, para que possam ser utilizados nos estudos de associação caso-controle das doenças infecciosas e autoimunes estudadas pelo grupo de pesquisa em Variabilidade Genética Humana da Universidade Federal de Pernambuco.

Situação: Concluído Natureza: Projetos de pesquisa

Integrantes: Ronald Rodrigues de Moura; Lucas André Cavalcanti Brandao (Responsável); Catarina Addobbati Jordão Cavalcanti

Financiador(es): Fundação de Amparo à Ciência e Tecnologia do Estado de Pernambuco-FACEPE

Revisor de periódico

1. Genetica (Dordrecht. Online) -

Vínculo

2015 - 2016

Regime: Parcial

Áreas de atuação

1. Genética de Populações
2. Genética Humana e Médica
3. Biologia Evolutiva
4. Imunogenética

Idiomas

Inglês Compreende Bem , Fala Bem , Escreve Razoavelmente , Lê Bem

Prêmios e títulos

- 2013 Melhor apresentação oral da III Jornada de Pós-Graduação em Genética, UFPE
- 2012 Melhor apresentação oral da II Jornada de Pós-Graduação em Genética, UFPE

Produção

Produção bibliográfica

Artigos completos publicados em periódicos

1. CHAGAS, B. S.; GURGEL, A.; PAIVA, S.; LIMA, R.; CORDEIRO, M.; **MOURA, R. R.**; COELHO, A. V. C.; NASCIMENTO, K.; SILVA NETO, J.; CROVELLA, S.; FREITAS, A. C.
Synergic effect of oral contraceptives, GSTP1 polymorphisms, and high-risk HPV infection in development of cervical lesions. GENETICS AND MOLECULAR RESEARCH. , v.16, p.gmr160339742 - , 2017.
2. SILVA, J. A.; LIMA, S. C.; CAVALVANTI, C. A. J.; **MOURA, R. R.**; BRANDAO, L. A. C.; PANCOTTO, J. A. T.; DONADI, E. A.; CROVELLA, S.; SANDRIN-GARCIA, P.
Association of interferon-induced helicase C domain (IFIH1) gene polymorphisms with systemic lupus erythematosus and a relevant updated meta-analysis. Genetics and Molecular Research. , v.15, p.1 - 2, 2016.

3. COELHO, ANTONIO; **DE MOURA, RONALD**; KAMADA, ANSELMO; DA SILVA, RONALDO; GUIMARÃES, RAFAEL; BRANDÃO, LUCAS; DE ALENCAR, LUIZ; CROVELLA, SERGIO
Dendritic Cell-Based Immunotherapies to Fight HIV: How Far from a Success Story? A Systematic Review and Meta-Analysis. *International Journal of Molecular Sciences (Online)*. , v.17, p.1985 - , 2016.
4. RABELO, K. C. N.; ALBUQUERQUE, C. M. R.; TAVARES, V. B.; SANTOS, S. M.; SOUZA, C. A.; OLIVEIRA, T. C.; **MOURA, R. R.**; BRANDAO, L. A. C.; CROVELLA, S.
Detecting multiple DNA human profile from a mosquito blood meal. *GENETICS AND MOLECULAR RESEARCH*. , v.15, p.1 - 8, 2016.
5. da SILVA, W. H. V. C.; **Moura, R.**; COELHO, A. V. C.; CROVELLA, S.; GUIMARAES, R. L.
Frequency of the CCR5-delta32 allele in Brazilian populations: A systematic literature review and meta-analysis. *Infection, Genetics and Evolution (Print)*. , p.101 - 107, 2016.
6. **MOURA RODRIGUES, RONALD**; PLANA, MONSERRAT; GARCIA, FELIPE; ZUPIN, LUISA; KUHN, LOUISE; CROVELLA, SERGIO
Genome-wide scan in two groups of HIV-infected patients treated with dendritic cell-based immunotherapy. *Immunologic Research*. , v.64, p.1207 - 1215, 2016.
7. COELHO, A. V. C.; **MOURA, R. R.**; CROVELLA, S.; CELSI, F.
HLA-G genetic variants and hepatocellular carcinoma: a meta-analysis. *GENETICS AND MOLECULAR RESEARCH*. , v.15, p.1 - 8, 2016.
8. de LIMA JUNIOR, S.; de MACEDO, J.; TAVARES, M.; de OLIVEIRA, R.; HERACLIO, S.; MAIA, M. M.; **Moura, R.**; CROVELLA, S.; SOUZA, P. R. E.
Influence of IL-6, IL-8, and TGF- β 1 gene polymorphisms on the risk of human papillomavirus-infection in women from Pernambuco, Brazil. *Memórias do Instituto Oswaldo Cruz (Online)*. , v.111, p.663 - 669, 2016.
9. CROVELLA, S.; BIANCO, A. M.; VUCH, J.; ZUPIN, L.; **MOURA, R. R.**; TREVISAN, E.; SCHNEIDER, M.; BROLLO, A.; NICASTRO, E. M.; COSENZI, A.; ZABUCCHI, G.; BORELLI, V.
Iron signature in asbestos-induced malignant pleural mesothelioma: A population-based autopsy study. *Journal of Toxicology and Environmental Health, Part A*. , v.79, p.129 - 141, 2016.
10. COELHO, A.; **MOURA, R. R.**; CAVALVANTI, C. A. J.; GUIMARAES, R. L.; SANDRIN-GARCIA, P.; CROVELLA, S.; BRANDAO, L. A. C.
A rapid screening of ancestry for genetic association studies in an admixed population from Pernambuco, Brazil. *Genetics and Molecular Research*. , v.14, p.2876 - 2884, 2015.
11. TAVARES, N. A. C.; SANTOS, M. M. S.; **MOURA, R. R.**; ARAUJO, J.; GUIMARAES, R. L.; CROVELLA, S.; BRANDAO, L. A. C.
Association of TNF- α , CTLA4, and PTPN22 polymorphisms with type 1 diabetes and other autoimmune diseases in Brazil. *Genetics and Molecular Research*. , v.14, p.18936 - 18944, 2015.
12. CATAMO, E.; CAVALVANTI, C. A. J.; SEGAT, L.; FRAGOSO, T. S.; DANTAS, A. T.; MARIZ, H. A.; ROCHA JUNIOR, L. F.; DUARTE, A. L. B. P.; COELHO, A. V. C.; **MOURA, R. R.**; POLESELLO, V.; CROVELLA, S.; SANDRIN-GARCIA, P.
Comprehensive analysis of polymorphisms in the HLA-G 5' upstream regulatory and 3' untranslated regions in Brazilian patients with systemic lupus erythematosus. *Tissue Antigens*. , v.85, p.458 - 465, 2015.
13. SANTOS, S. M.; SOUZA, C. A.; RABELO, K. C. N.; SOUZA, P. R. E.; **MOURA, R. R.**; OLIVEIRA, T. C.; CROVELLA, S.
Distribution of forensic marker allelic frequencies in Pernambuco, Northeastern Brazil. *Genetics and Molecular Research*. , v.14, p.4303 - 4310, 2015.
14. **MOURA, RONALD**; TRICARICO, PAOLA MAURA; CAMPOS COELHO, ANTONIO VICTOR; CROVELLA, SERGIO
GRID2 a novel gene possibly associated with mevalonate kinase deficiency. *Rheumatology International (Berlin. Internet)*. , v.35, p.657 - 659, 2015.

15. COELHO, A. V. C.; **MOURA, R. R.**; Da SILVA, R. C.; KAMADA, A. J.; GUIMARAES, R. L.; BRANDAO, L. A. C.; COELHO, H. F. C.; CROVELLA, S.
Meta-analysis and time series modeling allow a systematic review of primary HIV-1 drug-resistant prevalence in Latin America and Caribbean. *Current HIV Research (Print)*. , v.13, p.125 - 142, 2015.
16. **RODRIGUES DE MOURA, RONALD**; COELHO, ANTONIO VICTOR CAMPOS; DE QUEIROZ BALBINO, VALDIR; CROVELLA, SERGIO; BRANDÃO, LUCAS ANDRÉ CAVALCANTI
Meta-analysis of Brazilian genetic admixture and comparison with other Latin America countries. *American Journal of Human Biology*. , v.27, p.674 - 680, 2015.
17. SILVA, J. A.; TAVARES, N. A. C.; SANTOS, M. M. S.; **MOURA, R. R.**; GUIMARAES, R. L.; ARAUJO, J.; CROVELLA, S.; BRANDAO, L. A. C.
Meta-analysis of STAT4 and IFIH1 polymorphisms in type 1 diabetes mellitus patients with autoimmune polyglandular syndrome type III. *Genetics and Molecular Research*. , v.14, p.17730 - 17738, 2015.
18. BORELLI, VIOLETTA; **MOURA, RONAL R**; TREVISAN, ELISA; CROVELLA, SERGIO
NLRP1 and NLRP3 polymorphisms in mesothelioma patients and asbestos exposed individuals a population-based autopsy study from North East Italy. *Infectious Agents and Cancer*. , v.10, p.1 - 3, 2015.
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Produção técnica

Entrevistas, mesas redondas, programas e comentários na mídia

1. Moura, R.; COELHO, A. V. C.; CROVELLA, S.
Pesquisa da UFPE identifica genes que auxiliam ação de vacinas anti-HIV, 2014
2. Moura, R.; COELHO, A. V. C.; CROVELLA, S.
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Eventos

Eventos

Participação em eventos

1. Conferencista no(a) **I Simpósio sobre Local do Crime**, 2015. (Simpósio)
Perícia e a Universidade.
2. Conferencista no(a) **Quinta Ciência**, 2015. (Seminário)
Passado, Presente e Futuro de Vacinas Terapêuticas Usando Células Dendríticas.
3. Apresentação de Poster / Painel no(a) **VI SINATER - International Symposium on Diagnosis and Therapy**, 2015. (Simpósio)
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4. Conferencista no(a) **I Encontro Pernambucano de Genética Forense**, 2014. (Seminário)
Forensic DNA Phenotyping: determinando a aparência através do DNA.
5. Apresentação de Poster / Painel no(a) **V Sinater - Simpósio Internacional de Diagnóstico e Terapêutica e VIII Jornada Científica do LIKA**, 2014. (Simpósio)

A meta-analyses of association studies involving SNPs from PSEN1 and PSEN2 genes and Alzheimer's Disease.

Citações

Web of Science Total de citações : 27;Total de trabalhos : 25;Data : 08/11/2017; Fator H: 3;
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Moura, Ronald R.

Google Acadêmico Total de citações : 54;Total de trabalhos : 19;Data : 21/06/2016
Nome(s) do autor utilizado(s) na consulta para obter o total de citações:
Ronald Moura

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Outras informações relevantes

1 Monitoria em Genética Geral pela Universidade Federal Rural de Pernambuco, durante o período de Julho de 2010 a Dezembro de 2011.